Construction of a multi-soliton blow-up solution to the semilinear wave equation in one space dimension

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Semilinear wave equations

 $u: \mathbb{R}_t \times \mathbb{R}_x \to \mathbb{R}$ solution to

$$\begin{cases} \partial_{tt}u - \partial_{xx}u - |u|^{p-1}u = 0, \\ (u, \partial_t u)|_{t=0} = (u_0, u_1). \end{cases}$$
 (NLW)

where p > 1.

Local well-posedness: $(u_0, u_1) \in H^1(\mathbb{R}) \times L^2(\mathbb{R})$ (Ginibre, Soffer & Velo, Lindblad & Sogge).

Introduction

Blow-up curve

Blow-up criterion (Levine 74):

If
$$\int \left(\frac{1}{2}|u_1|^2 + \frac{1}{2}|\partial_x u_0|^2 - \frac{1}{p+1}|u_0|^{p+1}\right) dx < 0,$$

then the solution can not be global in time.

If *u* is a blow-up solution:

- let $D \subset \mathbb{R}^2$ be the maximal domain of influence of *u* (in space-time), write $D = \{(x, t) | 0 \le t < T(x)\}.$
- Blow-up curve $\Gamma = \{(x, T(x))\}.$
- *T* is 1-Lipschitz (finite speed of propagation).
- $\overline{T} = \inf_{x \in \mathbb{R}} T(x)$ is the blow-up time.

Goal:

- Description of any arbitrary blow-up solution;
- Construction of examples for each of the blow-up modalities.

Introduction

Charateristic points

A point $a \in \mathbb{R}$ is *non-characteristic* if *D* contains a splaying cone

$$\mathscr{C}_{\delta}(a,T(a)) := \{(x,t) \in \mathbb{R} \times \mathbb{R}^+ | |x-a| \le \frac{T(a)-t}{\delta}\} \subset D \text{ for some } \delta < 1.$$



A point is *characteristic* if it is not the case.

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Notation:

- \mathscr{R} is the set of non-characteristic points.
- \mathscr{S} is the set of characteristic points.

Known results:

- \mathscr{R} is never empty $(\bar{x} \text{ such that } T(\bar{x}) = \bar{T})$.
- \mathscr{S} can be empty (Caffarelli and Friedman 85, 86).

Introduce the similarity variables: for any point $(x_0, T_0) \in \overline{D}$

$$w_{x_0,T_0}(y,s) = (T_0 - t)^{\frac{2}{p-1}} u(x,t), \quad y = \frac{x - x_0}{T_0 - t}, \quad s = -\ln(T_0 - t).$$

Functional space:

$$\mathscr{H} = \left\{ (q,p) \left| \int_{-1}^{1} \left(|p(y)|^2 + |\partial_y q|^2 (1-y^2) + |q(y)|^2 \right) (1-y^2)^{\frac{2}{p-1}} dy < +\infty \right\} \right\}$$

Stationary solutions (for the "w" equation):

$$\kappa(d, y) = \pm \kappa_0 \frac{(1 - d^2)^{\frac{1}{p-1}}}{(1 + dy)^{\frac{2}{d-1}}}, \qquad \kappa_0 = \left(\frac{2(p+1)}{(p-1)^2}\right)^{\frac{1}{p-1}}$$

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Regularity of \mathscr{R}

Theorem (Merle & Z. 2007, 2008)

- \mathscr{R} is open and T(x) is \mathscr{C}^1 on \mathscr{R} .
- There exists $\mu_0 > 0$, C_0 , such that for all $x_0 \in \mathscr{R}$, there exist $\varepsilon(x_0) = \pm 1$, $s(x_0) \ge -\ln T(x_0)$ such that $\forall s \ge s(x_0)$,

$$\left\| \begin{pmatrix} w_{x_0,T(x_0)}(s) \\ \partial_s w_{x_0,T(x_0)}(s) \end{pmatrix} - \varepsilon(x_0) \begin{pmatrix} \kappa(T'(x_0)) \\ 0 \end{pmatrix} \right\|_{\mathscr{H}} \leq C_0 e^{-\mu_0(s-s_0)}$$

(Nouaili improved the regularity of T to \mathscr{C}^{1,μ_0}).

- Only one $\kappa(d)$.
- Its parameter it the slope of the blow-up curve.
- Exponential convergence to the profile.

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Description of \mathscr{S} and refined asymptotics of characteristic blow-up points

Theorem (Merle & Z. 2012, improved in Côte & Z. 2012)

- *I* is a discrete set.
- If $x_0 \in \mathscr{S}$, there exist $k = k(x_0) \ge 2$, $\epsilon(x_0) = \pm 1$ and $\zeta_0 = \zeta_0(x_0)$ s.t. $\forall s \ge s_0$

$$\left\| \begin{pmatrix} w_{x_0,T(x_0)}(s) \\ \partial_s w_{x_0,T(x_0)}(s) \end{pmatrix} - \varepsilon(x_0) \sum_{i=1}^k (-1)^i \begin{pmatrix} \kappa(d_i(s)) \\ 0 \end{pmatrix} \right\|_{\mathscr{H}} \le C_0 \left(\frac{s_0}{s}\right)^{\eta} \quad \text{for some } \eta > 0.$$

where $d_i(s) = -\tanh \zeta_i(s)$ and $\zeta_i(s)$ is defined on the next slide.

• Furthermore, the blow-up curve is corner shaped at x_0 : for some $\gamma = \gamma(p) > 0$,

$$T(x) = T(x_0) - |x - x_0| + \frac{\gamma e^{2\zeta_0 \operatorname{sgn}(x_0 - x)} |x - x_0| (1 + o(1))}{|\ln|x - x_0||^{\frac{(k-1)(p-1)}{2}}}$$

Illustration with hyperbolic coordinates

Introducing

$$\bar{w}_{x_0}(\xi, s) = (1 - y^2)^{\frac{1}{p-1}} w_{x_0}(y, s)$$
 with $y = \tanh \xi$ and $\zeta_i(s) = -\tanh^{-1} d_i(s)$,

we get

$$\|\bar{w}_{x_0}(\xi,s) - \epsilon(x_0)\kappa_0 \sum_{i=1}^{k(x_0)} (-1)^i \cosh^{-\frac{2}{p-1}}(\xi - \zeta_i(s))\|_{H^1 \cap L^{\infty}(\mathbb{R})} \to 0 \text{ as } s \to \infty,$$

and with $k(x_0) = 4$ and $\epsilon(x_0) = -1$: $\overline{w}(xi,s)$ $\overline{zeta_2}$ $zeta_4$ xi

 $(\zeta_i)_{i=1,...,k}$ is a solution to the system

$$\dot{\zeta}_i = e^{-\frac{2}{p-1}(\zeta_i - \zeta_{i-1})} - e^{-\frac{2}{p-1}(\zeta_{i+1} - \zeta_i)}, \quad i = 1, \dots, k,$$

with the convention $\zeta_0(s) \equiv -\infty$, $\zeta_{k+1}(s) \equiv +\infty$, and barycenter $\frac{1}{k}(\zeta_1(s) + \cdots + \zeta_k(s)) = \zeta_0$. One can compute explicitly for

$$\zeta_i(s) = \left(i - \frac{k+1}{2}\right) \frac{(p-1)}{2} \ln s + \alpha_i + \zeta_0(x_0),$$

where $\alpha_i = \alpha_i(p, k)$ are chosen adequately.

Notice that

- The solitons are alternating.
- The number of solitons can be seen on the blow-up curve.
- The blow-up curve is never symetric with respect to x_0 , unless maybe if the barycenter of the solitons $\zeta_0(x_0) = 0$.

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Characteristic blow-up points with prescribed asymptotics

Theorem (Côte & Z. 2012)

For any integer $k \ge 2$ and $\zeta_0 \in \mathbb{R}$, there exists a blow-up solution u(x, t) in $H^1 \times L^2(\mathbb{R})$ with $0 \in \mathscr{S}$ such that T(0) = 1 and

$$\left\| \begin{pmatrix} w_{0,1}(s) \\ \partial_s w_{0,1}(s) \end{pmatrix} - \sum_{i=1}^k (-1)^{i+1} \begin{pmatrix} \kappa(d_i(s)) \\ 0 \end{pmatrix} \right\|_{\mathscr{H}} \to 0 \quad \text{as } s \to \infty,$$

with

$$d_i(s) = -\tanh \zeta_i(s), \quad \zeta_i(s) = \left(i - \frac{k+1}{2}\right) \frac{(p-1)}{2} \ln s + \alpha_i + \zeta_0.$$

Sums of solitons

Some ideas of proofs : DESCRIPTION, then CONSTRUCTION

Equation on w: let
$$\rho = (1 - y^2)^{\frac{2}{p-1}}$$
 and $\mathscr{L}w = \frac{1}{\rho}\partial_y(\rho(1 - y^2)\partial_y w).$

$$\partial_{ss}w = \mathscr{L}w - \frac{2(p+1)}{(p-1)^2}w + |w|^{p-1}w - \frac{p+3}{p-1}\partial_sw - 2y\partial_{ys}^2w, \qquad (eqw)$$

Starting point: Monotonicity property in the *w* variable.

$$E(w) = \int_{-1}^{1} \left(\frac{1}{2} |\partial_s w|^2 + \frac{1}{2} |\partial_y w^2| (1 - y^2) + \frac{p + 1}{(p - 1)^2} w^2 - \frac{1}{p + 1} |w|^{p + 1} \right) \rho dy.$$

Theorem (Lyapunov functional, Antonini & Merle 02)

$$E(w(s_2)) - E(w(s_1)) = -\frac{4}{p-1} \int_{s_1}^{s_2} \int_{-1}^{1} |\partial_s w|^2 (1-y^2)^{\frac{2}{p-1}-1} dy ds \le 0.$$

If $E(w((s_0)) < 0$ for some $s_0 \in \mathbb{R}$, w blows-up in finite time.

DESCRIPTION: Decomposition into a sum of solitons

• We have two bounds

$$\|w_{x_0,T(x_0)},\partial_s w_{x_0,T(x_0)}\|_{\mathscr{H}} \leq C, \ \int_{-\ln s_0}^{+\infty} \int_{-1}^{1} |\partial_s w|^2 (1-y^2)^{rac{2}{p-1}-1} dy ds \leq C.$$

• We find local limits: for some sequences $s_n \to +\infty$, in the $\xi = \arg \tanh(y)$ variable,

 $w_{x_0,T(x_0)}(\xi + \xi_n, s + s_n) \to w^*$ stationary solution, in H^1_{loc} .

• Nonzero stationary solutions are exactly the $\pm \kappa(d, \cdot)$. In the $(\zeta, \xi) = (\arg \tanh d, \arg \tanh y)$ variables,

$$\kappa(\zeta,\xi) = \frac{\kappa_0(p)}{\cosh(\xi-\zeta)^{\frac{2}{p-1}}}$$
 is a soliton.

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Proposition

There exist an integer $k(x_0)$ *and* $\varepsilon_i \in \{\pm 1\}$ *and continuous functions* $d_i(s)$ *such that*

$$\left\| \begin{pmatrix} w_{x_0,T(x_0)}(s) \\ \partial_s w_{x_0,T(x_0)}(s) \end{pmatrix} - \sum_{i=1}^{k(x_0)} \varepsilon_i \begin{pmatrix} \kappa(d_i(s), \cdot) \\ 0 \end{pmatrix} \right\|_{\mathscr{H}} \to 0 \quad as \quad s \to +\infty.$$

and, with $\zeta_i = \arg \tanh(d_i), \ \zeta_{i+1}(s) - \zeta_i(s) \to +\infty.$

At this point:

- We may have $k(x_0) = 0$ or 1 or $k(x_0) \ge 2$.
- No control on the signs ε_i .
- If $k(x_0) \ge 2$, we have no control on the size $\zeta_{i+1}(s) \zeta_i(s)$.

DESCRIPTION at non-characteristics points

If x_0 is a non-characteristic point

- Control in a splaying cone.
- A covering argument gets rid of the weight.

$$|w_{x_0,T(x_0)}, \partial_s w_{x_0,T(x_0)}||_{H^1 \times L^2} \le C.$$

- We get one single limit: $k(x_0) = 1$ (otherwise we quit $H^1(-1, 1)$).
- Modulation + linear version of the Lyapunov yields

$$\forall s \geq s(x_0), \quad \left\| \begin{pmatrix} w_{x_0,T(x_0)}(s) \\ \partial_s w_{x_0,T(x_0)}(s) \end{pmatrix} - \varepsilon(x_0) \begin{pmatrix} \kappa(d(x_0)) \\ 0 \end{pmatrix} \right\|_{\mathscr{H}} \leq C_0 e^{-\mu_0(s-s_0)}.$$

• Stability property $\rightarrow d(x_0) = T'(x_0)$ and \mathscr{R} is open.

DESCRIPTION at characteristics points

- The covering argument does not hold:
- We may have $k(x_0) \ge 2$.

Equation on the solitons centers $\zeta_i = \arg \tanh d_i$:

$$\frac{1}{c_1(p)}\dot{\zeta}_i = \left(\varepsilon_i\varepsilon_{i-1}e^{-\frac{2}{p-1}(\zeta_i-\zeta_{i-1})} - \varepsilon_i\varepsilon_{i+1}e^{-\frac{2}{p-1}(\zeta_{i+1}-\zeta_i)}\right) + o(1).$$

- By construction $\zeta_{i+1} \zeta_i \to +\infty$.
- This implies $\varepsilon_i = (-1)^{i-1} \varepsilon_1$.
- To study further the dynamics, we need an adequate modulated decomposition.
- Introduction of the $\kappa^*(d, \nu, y)$.

Modulation

Define for $\nu > -1 + |d|$, $\kappa^{*}(d, \nu, y) = (\kappa_{1}^{*}(d, \nu, y), \kappa_{2}^{*}(d, \nu, y)),$ where

$$\kappa_1^*(d,\nu,y) = \kappa_0 \frac{(1-d^2)^{\frac{1}{p-1}}}{(1+dy+\nu)^{\frac{2}{p-1}}}, \qquad \kappa_2^*(d,\nu,y) = \nu \partial_\nu \kappa_1^*(d,\nu,y).$$

- For $\mu \in \mathbb{R}$, $\kappa_1^*(d, \mu e^s, y)$ is solution of (eqw).
- If $\mu = 0$, it is $\kappa(d, y)$.
- If $\mu > 0$, it converges to 0 as $s \to +\infty$.
- If $\mu < 0$, it blows up at time $s = \ln\left(\frac{|d|-1}{\mu}\right)$.
- We can write a decomposition

$$\begin{pmatrix} w_{x_0,T(x_0)}(s) \\ \partial_s w_{x_0,T(x_0)}(s) \end{pmatrix} = q(s) + \sum_{i=1}^{k_0} (-1)^j \kappa^*(\hat{d}_i,\hat{\nu}_i), \quad \|q(s)\|_{\mathscr{H}} \to 0$$

with the projection $\prod_{\lambda,i}(q(s)) = 0$, for all $i = 1, \dots, k(x_0)$ and eigenvalues $\lambda = 0, 1$ (we have 2k nonnegative eigenvalues).

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Define

$$J = \sum_{i=2}^{k} e^{-\frac{2}{p-1}(\zeta_i - \zeta_{i-1})}, \quad \bar{J} = \sum_{i=1}^{k} \frac{|\nu_i|}{1 - d_i^2}, \quad \hat{J} = \sum_{i=2}^{k} e^{-\frac{\bar{p}}{p-1}(\zeta_i - \zeta_{i-1})},$$

where $\bar{p} = \min(p, 2 - 1/100)$.

Proposition (Dynamics of the parameters)

$$\begin{aligned} \frac{|\dot{\nu}_i - \nu_i|}{1 - d_i^2} &\leq C(\|q\|_{\mathscr{H}}^2 + J + \|q\|_{\mathscr{H}}\bar{J}) \\ \left|\frac{1}{c_1(p)}\dot{\zeta}_i - \left(e^{-\frac{2}{p-1}(\zeta_i - \zeta_{i-1})} - e^{-\frac{2}{p-1}(\zeta_{i+1} - \zeta_i)}\right)\right| &\leq C(\|q\|_{\mathscr{H}}^2 + (J + \|q\|_{\mathscr{H}})\bar{J} + J^{1+\eta}) \\ \|q(s)\|_{\mathscr{H}}^2 &\leq Ce^{-\eta(s-s_0)}\|q(s_0)\|_{\mathscr{H}}^2 + C\hat{J}(s)^2) \end{aligned}$$

with $\zeta_i(s) = -\arg \tanh(d_i(s))$.

• The decomposition in generalized solitons κ^* is *stable* in some sense:

$$\zeta_i \sim \left(i - \frac{k+1}{2}\right) \frac{(p-1)}{2} \ln s, \quad J \sim s^{-2}, \quad \hat{J} \sim s^{-\bar{p}}, \quad \|q\|_{\mathscr{H}} \leq s^{-\bar{p}}, \quad \frac{|\nu_i|}{1 - d_i^2} \leq s^{-\bar{p}}.$$

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CONSTRUCTION: Prescribed non-characteristic blow-up

Parameters are given: number of solitons $k \ge 2$ integer and their barycenter $\zeta_0 \in \mathbb{R}$. Define $\overline{\zeta_i}$, $\overline{d_i}$ be the "perfect" centers of mass:

$$\bar{d}_i(s_0) = \tanh \bar{\zeta}_i(s), \qquad \bar{\zeta}_i(s) = \left(i - \frac{k+1}{2}\right) \frac{(p-1)}{2} \ln s + \alpha_i.$$

Step 1: Construction of *w* decomposing into *k* solitons as $s \to +\infty$, without the condition on the barycenter.

Recall there is a 1 to 1 correspondence between w and $(q, (d_i)_i, \nu_i)$ around a sum of k decoupled soliton.

Goal: Find initial conditions $(q(s_0), (d_i(s_0))_i, (\nu_i(s_0))_i)$ such that w is defined on $[s_0, +\infty)$ and

$$q(s) o 0, \quad d_i(s) \sim ar{d}_i(s) \quad ext{and} \quad
u_i(s) o 0 \quad ext{as} \quad s o +\infty.$$

Equations describing the dynamics up to leading order:

$$\dot{
u}_i \sim
u_i, \ rac{1}{c_1(p)} \dot{\zeta}_i \sim \left(e^{-rac{2}{p-1}(\zeta_i - \zeta_{i-1})} - e^{-rac{2}{p-1}(\zeta_{i+1} - \zeta_i)}
ight), \ \|q(s)\|_{\mathscr{H}}^2 \leq C e^{-\eta(s-s_0)} \|q(s_0)\|_{\mathscr{H}}^2 + C \hat{J}(s)^2.$$

- q has some (spectral) stability property: Negative part of the spectrum $\lambda \leq -\eta$,
- The ν_i correspond to $\lambda = 1$. They are *transversally* unstable.
- The ζ_i correspond to λ = 1. Fortunately, we have almost a Lyapunov Theorem for the ODE system of ζ_i: stability property except for the barycenter.
 More precisely, consider the linearized system of ζ_i around ζ_i.

Let $\boldsymbol{\xi} = (\zeta_i - \overline{\zeta}_i)_i$, up to a linear change of variable $\boldsymbol{\phi} = P\boldsymbol{\xi}$, it writes

$$\dot{\phi} \sim \frac{1}{s} M \phi$$
, where $(M \phi, \phi) \leq -\sum_{i=2}^{k} \phi_i^2$, and $M \phi_1 = 0$.

Hence, the ϕ_i for $i \ge 2$ are controlled, and ϕ_1 "doesn't change much".

CONCLUSION: we only need to control ν_i for $i = 1, \ldots, k$.

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Ideas of proofs Characteristic blow-up Define the rescaling $\Gamma_s : (\nu_1, \dots, \nu_k) \mapsto (s^{-1/2 - |\gamma_1|} \nu_1, \dots, s^{-1/2 - |\gamma_k|} \nu_k)$ where $\gamma_i = (i - \frac{k+1}{2}) \frac{(p-1)}{2}.$

Consider initial data of the type

$$(0, (\bar{d}_i(s_0))_i, (\nu_i(s_0)_i) \text{ that is } w(s_0) = 0 + \sum_{i=1}^k \kappa^*(\bar{d}_i(s_0), \nu_i(s_0))$$

where $\nu_i(s_0)$ belongs to $\mathbb{B} := [-1, 1]^k$ after rescaling

$$(\nu_i(s_0))_i = \Gamma_{s_0}((\nu_{i,0})_i), \quad \nu_{i,0} \in [-1,1].$$

For any $\boldsymbol{\nu} = (\nu_{i,0})_i$, let $(q(s), ((d_i(s))_i, (\nu_i(s))_i)$ the evolution with such initial conditions at $s = s_0$.

Define

- The rescaled flow Φ : $(s, \nu) \mapsto \Gamma_s^{-1}((\nu_1(s), \dots, \nu_k(s)))$, and
- The exit time $s^*(\boldsymbol{\nu}) = \sup\{s \ge s_0 | \forall \tau \in [s_0, s], \ \Phi(\boldsymbol{\nu}) \in \mathbb{B}\}.$

Goal: find $\boldsymbol{\nu} \in \mathbb{B}$ such that $s^*(\boldsymbol{\nu}) = +\infty$.

We argue by contradiction: assume that for all $\nu \in \mathbb{B}$, $s^*(\nu) < +\infty$. Define

$$\Psi: \mathbb{B} \to \mathbb{B}, \quad \boldsymbol{\nu} \mapsto \Phi(\boldsymbol{s}^*(\boldsymbol{\nu}), \boldsymbol{\nu}).$$

Then, denoting $\mathbb{S}=\partial \mathbb{B}$ the boundary

 $\Psi \in \mathbb{B}, \quad \Psi(\nu) \in \mathbb{S}.$

$$\forall \nu \in \mathbb{B}, s \in [s_0, s^*(\nu)], \quad s^{1/2+\eta} \|q(s)\|_{\mathscr{H}} + \sum_{i=2}^k s^{\eta} |\phi_i|(s) + s_0^{\eta} |\phi_1(s)| \le 1.$$

$$\forall \nu \in \mathbb{S}, \quad s^*(\nu) = s_0 \text{ and } \Psi(\nu) = \nu.$$

• Ψ is continuous.

We used:

- Stability properties for 2).
- Transversality of the flow on the boundary \mathbb{S} for 3) and 4).

 $\Psi: \mathbb{B} \to \mathbb{S}$ continuous such that $\Psi|_{\mathbb{S}} = \text{Id.}$ This contradicts Brouwer's Theorem.

Hence there exists $\boldsymbol{\nu}^{\sharp} \in \mathbb{B} = [-1,1]^k$ such that $s^*(\boldsymbol{\nu}^{\sharp}) = +\infty$.

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- Conclusion: existence of w[♯] ∈ C([s₀, +∞), ℋ) satisfying the alternating k-soliton decomposition, with barycenter |ζ₀| ≤ s₀^η.
- Define $u^{\sharp} \in H^1_{\text{uloc}} \times L^2_{\text{uloc}}$ solution to (NLW), such that the trace on (-1, 1) is w^{\sharp} :

$$u^{\sharp}(0)|_{(-1,1)} = w(x,s), \quad \partial_{t}u^{\sharp}(x,0)|_{(-1,1)} = \partial_{s}w^{\sharp}(x,s_{0}) + \frac{2}{p-1}w^{\sharp}(x,s_{0}) + x\partial_{y}w^{\sharp}(x,s_{0}).$$

• Check that for $t \in [0, 1)$ and |x| < 1 - t,

$$u^{\sharp}(x,t) = (1-t)^{-\frac{2}{p-1}} w^{\sharp}\left(\frac{x}{1-t}, s_0 - \ln(1-t)\right).$$

 u^{\sharp} has characteristic point 0 as desired (without the barycenter condition).

- Fix barycenter using a Lorentz transform on u^{\sharp} .
- Corollary (prescribing multiple characteristic points) follows from finite speed of propagation.

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Conclusion

Summary

- Complete description of the blow-up.
- Results are specific to semilinear equation:

e.g. $\partial_{tt}u - \partial_{xx}u = \partial_x u \partial_t u$, explicit solution with blow-up curve $\{(x, |x| + 1\}, \mathscr{S} = \mathbb{R}^*.$

• Results are very sensitive to the nonlinearity, especially to sign change, but the method is robust:

e.g.
$$\partial_{tt}u - \partial_{xx}u = |u|^p$$
: one always has $\mathscr{S} = \varnothing$.

Some open questions

- Extension to \mathbb{R}^d ? Ok in the radial case. Problem in the general case: classification of stationnary solutions.
- Can one construct a blow-up solution with prescribed characteristic set \mathscr{S} ?
- Given a 1-Lipshitz (smooth) curve Γ, can one give a solution *u* with blow-up curve Γ?
 (See Killip & Visan).