

The Critical Problem for Rank-Metric Codes

Gianira N. Alfarano

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Università degli Studi della Campania, Luigi Vanvitelli

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Overview

1 Introduction to the Problem

2 Background

- Linear Codes
- Matroids and Lattices
- q -Polymatroids

3 The Critical Problem for Rank-Metric Codes

- The \mathbb{F}_{q^m} -Linear Case
- The MRD Case

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The Critical Problem

Definition (Crapo & Rota 1970)

Let $S \subseteq \mathbb{F}_q^k \setminus \{0\}$. Let $\mathcal{F} = (f_1, \dots, f_r)$ be a list of linear functionals $f_i : \mathbb{F}_q^k \rightarrow \mathbb{F}_q$. We say that \mathcal{F} **distinguishes** S if for all $v \in S$, $v \notin \bigcap_{i=1}^r \ker f_i$, i.e.

$$\forall v \in S, \quad \exists i \in \{1, \dots, r\}, \text{ s.t. } f_i(v) \neq 0.$$



H. Crapo, G. Rota. "On The Foundations of Combinatorial Theory: Combinatorial Geometries.", 1970.

Problem (The Critical Problem)

What is the minimum number of linear forms that distinguishes S ?

The Critical Problem

Definition (Crapo & Rota 1970)

Let $S \subseteq \text{PG}(k-1, q)$. Let $\mathcal{H} = (H_1, \dots, H_r)$ be some hyperplanes. We say that \mathcal{H} **distinguishes** S if for all $P \in S$, $P \notin \bigcap_{i=1}^r H_i$.



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- **Critical Exponent:** The number that we look for in the critical problem.

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Contributors: Britz, Dowling, Green, Gruica, Imamura, Jany, Kung, Oxley, Ravagnani, Sheekey, Shiromoto, Tutte, Welsh, White, Whittle, Zullo...

q -Analogues

Finite set \longrightarrow finite dimensional vector space over the finite field \mathbb{F}_q .

Classic	q-Analogues
$\{1 \dots, n\}$	\mathbb{F}_q^n
element	1-dim subspace
size	dimension
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Example:

$$\binom{n}{k} = \frac{n!}{k!(n-k)!}$$
$$\begin{bmatrix} n \\ k \end{bmatrix}_q = \frac{(q^n - 1)(q^{n-1} - 1) \cdots (q^{n-k+1} - 1)}{(q^k - 1)(q^{k-1} - 1) \cdots (q - 1)}$$

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Linear Hamming-Metric Codes

Basic Notions

- \mathbb{F}_q finite field of order q .
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- The **support** of $u \in E$ is $\text{supp}(u) := \{i : u_i \neq 0\}$.
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An $[n, k]_q$ **linear code** C is a k -dimensional subspace of \mathbb{F}_q^n .

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- C is **non-degenerate** if $\text{supp}(C) = [n]$.

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- For $S \subseteq [n]$, $C(S) := \{c \in C : \text{supp}(c) \subseteq \bar{S}\}$ is called a **shortened subcode** of C .
- $C = \text{rowsp}(G) = \{uG \mid u \in \mathbb{F}_q^k\}$, where $G \in \mathbb{F}_q^{k \times n}$ is a **generator matrix**.

Rank-Metric Codes

Basic Notions

- An \mathbb{F}_q - $[n \times m, k, d]$ **rank-metric code** C is a k -dimensional \mathbb{F}_q -subspace of $\mathbb{F}_q^{n \times m}$.
- The **minimum rank distance** of C is $d := \min\{\text{rk}(M) : 0 \neq M \in C\}$.

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- **Singleton-like bound:** $k \leq \max\{n, m\}(\min\{n, m\} + d - 1)$.
- Codes attaining the Singleton-like bound are called **MRD**.

Matroids

A **matroid** \mathcal{M} is an ordered pair $([n], r)$ where $r : 2^{[n]} \rightarrow \mathbb{Z}$ s.t. $\forall A, B \subseteq [n]$

(r1) **(Boundness)** $0 \leq r(A) \leq |A|$.

(r2) **(Monotonicity)** If $A \subseteq B$, then $r(A) \leq r(B)$.

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Example (Extended Hamming Code)

Let C be the $[8, 4, 4]_2$ code generated by

$$G := \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 \end{pmatrix}.$$

$$r(S) = \begin{cases} |S| & \text{if } |S| \leq 3 \\ 3 & \text{if } S = \text{supp}(c), c \neq (1, 1, 1, 1, 1, 1, 1, 1) \\ 4 & \text{otherwise.} \end{cases}$$

Lattices

- A **lattice** $(\mathcal{L}, \leq, \vee, \wedge)$ is a **poset** such that for every $a, b \in \mathcal{L}$, their **join** $a \vee b$ and their **meet** $a \wedge b$ is in \mathcal{L} .
- $\mathbf{1}_{\mathcal{L}} = \vee_{a \in \mathcal{L}}$ is the **maximal element** of \mathcal{L} .
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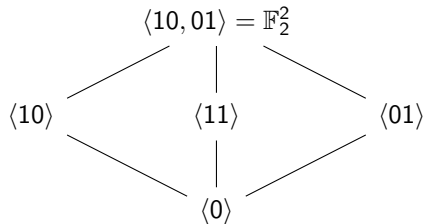
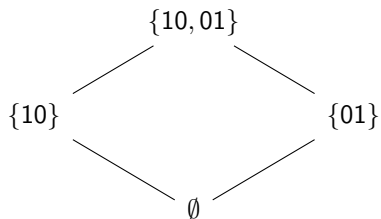
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- Let $c \in [a, b]$. We say that d is a **complement** of c in $[a, b]$ if $c \wedge d = a$ and $c \vee d = b$.
- \mathcal{L} is called **complemented** if every $c \in \mathcal{L}$ has a complement in \mathcal{L} .

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- \mathcal{L} is called **complemented** if every $c \in \mathcal{L}$ has a complement in \mathcal{L} .
- A finite **chain** from a to b is a sequence $a = x_1 < \cdots < x_{k+1} = b$ with $x_j \in \mathcal{L}$.
- The **height** of b is the maximum length of all maximal chains from $\mathbf{0}_{\mathcal{L}}$ to b .

Complemented Lattices

Boolean Lattice $(2^{[n]}, \subseteq, \cup, \cap)$	\longrightarrow	Subspace Lattice $(\mathcal{L}(E), \leq, +, \cap)$
Matroids	\longrightarrow	q -Matroids
Polymatroids	\longrightarrow	q -Polymatroids



Matroids \rightarrow q -Matroids

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Definition (Jurrius, Pellikaan, 2018)

A **q -matroid** is a pair (E, r) , $\mathcal{L}(E)$ is the lattice of subspaces of E and $r : \mathcal{L}(E) \rightarrow \mathbb{Z}$ is a **rank function** such that $\forall A, B \leq E$

(R1) **(Boundness)** $0 \leq r(A) \leq \dim(A)$.

(R2) **(Monotonicity)** If $A \leq B$, then $r(A) \leq r(B)$.

(R3) **(Submodularity)** $r(A + B) + r(A \cap B) \leq r(A) + r(B)$.



R. Jurrius, R. Pellikaan. "Defining the q -analogue of a matroid.", 2018.

Polymatroids \rightarrow q -Polymatroids

Definition

An (\mathcal{L}, r) -**(integer) polymatroid** is a pair $\mathcal{M} = (\mathcal{L}, \rho)$ for which $r \in \mathbb{N}_0$ and ρ is a function $\rho: \mathcal{L} \rightarrow \mathbb{N}_0$ satisfying the following axioms for all $A, B \in \mathcal{L}$.

(R1) **(Boundness)** $0 \leq \rho(A) \leq r \cdot h(A)$.

(R2) **(Monotonicity)** $A \leq B \Rightarrow \rho(A) \leq \rho(B)$.

(R3) **(Submodularity)** $\rho(A \vee B) + \rho(A \wedge B) \leq \rho(A) + \rho(B)$.

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- \mathcal{L} Boolean lattice:
 - ▶ \mathcal{M} is an (\mathcal{L}, r) polymatroid.
 - ▶ $r = 1$, \mathcal{M} is a matroid.
- $\mathcal{L} = \mathcal{L}(E)$ Subspace lattice:
 - ▶ \mathcal{M} is a (q, r) -**polymatroid** [Gorla+ 2019, Shiromoto 2019].
 - ▶ $r = 1$, \mathcal{M} is a q -matroid [Jurrius, Pellikaan 2016].



R. Jurrius, R. Pellikaan. "Defining the q -analogue of a matroid.", 2016.



E. Gorla, R. Jurrius, H. López, A. Ravagnani. "Rank-Metric Codes and q -Polymatroids", 2019.



K. Shiromoto. "Matroids and Codes with the Rank Metric", 2019.

Restriction and Contraction

Let $\mathcal{M} = (\mathcal{L}, \rho)$ be a (\mathcal{L}, r) -polymatroid and let $[X, Y]$ be an interval of \mathcal{L} .

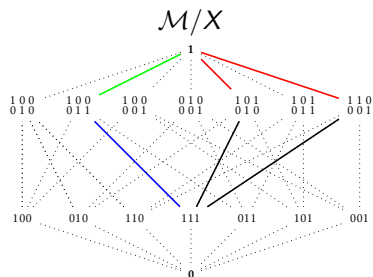
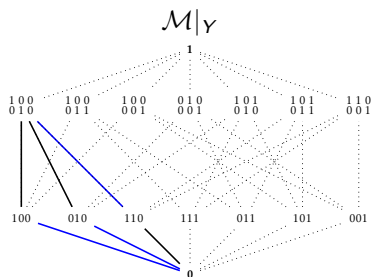
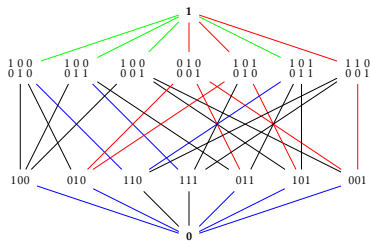
$$\begin{aligned}\rho_{[X, Y]} : \mathcal{L}(E) &\rightarrow \mathbb{N}_0 \\ T &\mapsto \rho(T) - \rho(X)\end{aligned}$$

$\mathcal{M}([X, Y]) = ([X, Y], \rho_{[X, Y]})$ is a **minor** of \mathcal{M} .

- 1 We write $\mathcal{M}|_Y := \mathcal{M}([\mathbf{0}, Y])$, which is called the **restriction** of \mathcal{M} to Y .
- 2 We write $\mathcal{M}/X := \mathcal{M}([X, \mathbf{1}])$, which is called the **contraction** of \mathcal{M} **by** X .

Restriction and Contraction: Example

Let $E = \mathbb{F}_2^3$ and $X = \langle (1, 1, 1) \rangle$, $Y = \langle (1, 0, 0), (0, 1, 0) \rangle$.



Representable q -Polymatroids

Theorem (Gorla+ 2019, Shiromoto 2019)

Let C be an \mathbb{F}_q - $[n \times m, k, d]$ rank-metric code. For each subspace $U \leq E$, define

$$C(U) := \{M \in C : \text{supp}(M) \leq U^\perp\}.$$

Define

$$\rho : \mathcal{L}(E) \rightarrow \mathbb{Z}, \quad \rho(U) := k - \dim(C(U)).$$

$\mathcal{M}[C] = (E, \rho)$ is a (q, m) -polymatroid.

- For every $U \leq E$, $\mathcal{M}[C]/U \sim \mathcal{M}[C(U)]$. [Gluesing-Luerssen, Jany, 2022]



E. Gorla, R. Jurrius, H. López, A. Ravagnani. "Rank-Metric Codes and q -Polymatroids", 2019.



K. Shiromoto. "Matroids and Codes with the Rank Metric", 2019.



H. Gluesing-Luerssen, B. Jany, " q -polymatroids and their relation to rank-metric codes, 2022.

Contents

- 1 Introduction to the Problem
- 2 Background
 - Linear Codes
 - Matroids and Lattices
 - q -Polymatroids
- 3 The Critical Problem for Rank-Metric Codes
 - The \mathbb{F}_{q^m} -Linear Case
 - The MRD Case

Distinguish Spaces

Definition:

- $U \leq E$.
- $\mathbf{B} = (b_1, \dots, b_r)$ list of bilinear forms $b_i : \mathbb{F}_q^n \times \mathbb{F}_q^m \rightarrow \mathbb{F}_q$.

B distinguishes the space U if

$$\bigcap_{i=1}^r \text{lker}(b_i) \leq U^\perp,$$

where $\text{lker}(b)$ denotes the left kernel of the bilinear form b .

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Problem ((q -Analogue of the) Critical Problem)

Find the minimum number c of bilinear forms b_i , such that (b_1, \dots, b_c) distinguishes a fixed space $U \leq E$.

Distinguish Spaces

Problem (q -Analogue of the) Critical Problem)

Let C be an \mathbb{F}_q - $[n \times m, k]$ rank-metric codes. Let $U \leq E$. Find the minimum number c of codewords M_i of C , such that

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Definition: the Critical Exponent of C

$\text{crit}(C)$: least number t of codewords of C , whose supports span $\text{supp}(C)$.

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$\text{crit}(\mathcal{M}[C])$: least number t of codewords of C , whose supports span $\text{supp}(C)$.

Möbius Function on a Poset

Let (P, \leq) be a partially ordered set. The Möbius Function on P is defined by

$$\mu(x, y) := \begin{cases} 1 & \text{if } x = y, \\ - \sum_{x \leq z < y} \mu(x, z) & \text{if } x < y, \\ 0 & \text{otherwise.} \end{cases}$$

Lemma (Möbius Inversion formula)

Let $f, g : P \rightarrow \mathbb{Z}$ be two functions on a poset P . Then

- 1 $f(x) = \sum_{x \leq y} g(y)$ if and only if $g(x) = \sum_{x \leq y} \mu(x, y)f(y)$.
- 2 $f(x) = \sum_{x \geq y} g(y)$ if and only if $g(x) = \sum_{x \geq y} \mu(y, x)f(y)$.

\mathcal{L}	Boolean lattice	Subspace lattice
$\mu(0, U)$	$(-1)^{ U }$	$(-1)^{\dim(U)} q^{\binom{\dim(U)}{2}}$

The Characteristic Polynomial

Let $\mathcal{M} = (E, \rho)$ be a q -polymatroid.

Definition: The **characteristic polynomial** of \mathcal{M} is the polynomial in $\mathbb{Z}[z]$ defined by

$$\rho(\mathcal{M}; z) := \sum_{0 \leq A \leq E} \mu(0, A) z^{\rho(E) - \rho(A)}.$$

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Properties:

- $\rho(\mathcal{M}/U; z) = \sum_{U \leq A \leq E} \mu(U, A) z^{\rho(E) - \rho(A)}.$

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- $z^{\rho(E) - \rho(U)} = \sum_{U \leq A \leq E} \rho(\mathcal{M}/A; z)$ (by **Möbius Inversion**).
- If $\mathcal{M} = \mathcal{M}[C]$ then $|C(U)| = \sum_{U \leq A \leq E} \rho(\mathcal{M}/A; q).$

The Critical Theorem for q -Polymatroids

- $p(\mathcal{M}/U; z) = \sum_{U \leq A \leq E} \mu(U, A) z^{\rho(E) - \rho(A)}$.

Theorem (A., Byrne (2022))

Let C be an \mathbb{F}_q - $[n \times m, k]$ rank-metric code, $\mathcal{M} = \mathcal{M}[C]$ and let $U \leq E$.

$$|\{(X_1, \dots, X_t) : X_i \in C, \text{supp}(X_1) + \dots + \text{supp}(X_t) = U\}| = p(\mathcal{M}/U^\perp; q^t).$$

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Proof:

$$f(W) := |\{(X_1, \dots, X_t) \in C^t : \sum_{i=1}^t \text{colsp}(X_i) = W^\perp\}|,$$

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$$f(W) = \sum_{V \in [W, E]} \mu(W, V) g(V) = \sum_{V \in [W, E]} \mu(W, V) |C(V)|^t = \sum_{V \in [W, E]} \mu(W, V) q^{t(k - \rho(V))} = p(\mathcal{M}/W; q^t).$$



Critical Exponent

Corollary

If C is a non-degenerate \mathbb{F}_q - $[n \times m, k]$ code, then

$$|\{(X_1, \dots, X_t) : X_i \in C, \text{supp}(X_1) + \dots + \text{supp}(X_t) = \mathbb{F}_q^n\}| = p(\mathcal{M}; q^t).$$

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$$\text{crit}(\mathcal{M}[C]) = \begin{cases} \infty & \text{if } C \text{ is degenerate,} \\ \min\{r : p(\mathcal{M}; q^r) > 0\} & \text{otherwise.} \end{cases}$$

- Ben Jany (2022) gave an alternative proof for the q -matroid case.
- Imamura and Shiromoto, independently showed a similar result (2023).

Example

Let C be the \mathbb{F}_2 - $[5 \times 3, 6, 1]$ rank-metric code generated by the matrices

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

Let $\mathcal{M} = (\mathbb{F}_2^5, \rho)$ be the $(q, 3)$ -polymatroid induced by C . We calculate the characteristic polynomial of \mathcal{M} ,

$$\rho(\mathcal{M}; z) := \sum_{X \leq \mathbb{F}_2^5} \mu(0, X) z^{\rho(\mathbb{F}_2^5) - \rho(X)} = \dots = z^6 - 4z^4 - 25z^3 + 44z^2 + 40z - 56.$$

- $\rho(\mathcal{M}; 1) = \rho(\mathcal{M}; 2) = 0$.
- $\rho(\mathcal{M}; 2^2) = 2280 > 0$.

Hence $\text{crit}(\mathcal{M}) = 2$. Indeed

$$X_1 = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 1 \end{pmatrix} \quad \text{and} \quad X_2 = \begin{pmatrix} 0 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

First Bound

Proposition

Let C be a non-degenerate \mathbb{F}_q - $[n \times m, k]$ code and let $\mathcal{M} = \mathcal{M}[C]$ be the q -polymatroid associated to C . Then

$$\left\lceil \frac{n}{m} \right\rceil \leq \text{crit}(\mathcal{M}) \leq k.$$

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$$\left\lceil \frac{n}{m} \right\rceil \leq \text{crit}(\mathcal{M}) \leq k.$$

Proof.

If $\text{crit}(\mathcal{M}) = t$, then there are $X_1, \dots, X_t \in C$ such that

$$\sum_{i=1}^t \text{supp}(X_i) = \mathbb{F}_q^n.$$

Then,

$$n = \dim_{\mathbb{F}_q} \left(\sum_{i=1}^t \text{supp}(X_i) \right) \leq mt.$$



Rank-Metric Codes Linear over \mathbb{F}_{q^m}

- $\mathbb{F}_{q^m}/\mathbb{F}_q$ finite extension field
- k, n positive integers, with $k \leq n$

Definition

An $[n, k]_{q^m/q}$ **rank-metric code** is an \mathbb{F}_{q^m} -linear subspace $C \leq \mathbb{F}_{q^m}^n$.

- n is the **length** of C .
- k is the **dimension** of C .

Let $v \in \mathbb{F}_{q^m}^n$ and fix a basis $\Gamma = \{\gamma_1, \dots, \gamma_m\}$ of $\mathbb{F}_{q^m}/\mathbb{F}_q$. Let $\Gamma(v) \in \mathbb{F}_q^{m \times n}$ be the matrix defined by

$$v_j = \sum_{i=1}^m \Gamma(v)_{ij} \gamma_i.$$

Definition

The Γ -**support** of a vector $v \in \mathbb{F}_{q^m}^n$ is the rowspace of $\Gamma(v)$. It is denoted by $\sigma_\Gamma(v) \subseteq \mathbb{F}_q^n$.

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- n is the **length** of C .
- k is the **dimension** of C .

- For any $v \in \mathbb{F}_{q^m}^n$, $\sigma(v) = \text{rowsp}(\Gamma(v)) \leq \mathbb{F}_q^n$.
- The **rank weight** of $v \in \mathbb{F}_{q^m}^n$ is $\text{rk}(v) = \dim_{\mathbb{F}_q}(\sigma(v))$.
- C possesses a **generator matrix** $G \in \mathbb{F}_{q^m}^{k \times n}$:

$$C = \{vG \mid v \in \mathbb{F}_{q^m}^k\},$$

i.e. the rows of G form a **basis** of C .

- C is **non-degenerate** if the columns of G are \mathbb{F}_q -independent.

The Geometry of Rank-Metric Codes (q -systems)

Consider an $[n, k]_{q^m/q}$ non-degenerate rank-metric code C with generator matrix $G = (g_{i,j})$. A basis for C is given by the rows of G .

$$\begin{array}{l} \rightarrow \\ \rightarrow \\ \vdots \\ \rightarrow \end{array} \left(\begin{array}{cccc} g_{1,1} & g_{1,2} & \cdots & g_{1,n} \\ g_{2,1} & g_{2,2} & \cdots & g_{2,n} \\ \vdots & \vdots & & \vdots \\ g_{k,1} & g_{k,2} & \cdots & g_{k,n} \end{array} \right)$$

The Geometry of Rank-Metric Codes (q -systems)

We can instead consider the columns of G .

$$\begin{array}{cccc} \downarrow & \downarrow & & \downarrow \\ \left(\begin{array}{cccc} \mathcal{G}_{1,1} & \mathcal{G}_{1,2} & \cdots & \mathcal{G}_{1,n} \\ \mathcal{G}_{2,1} & \mathcal{G}_{2,2} & \cdots & \mathcal{G}_{2,n} \\ \vdots & \vdots & & \vdots \\ \mathcal{G}_{k,1} & \mathcal{G}_{k,2} & \cdots & \mathcal{G}_{k,n} \end{array} \right) \end{array}$$

The Geometry of Rank-Metric Codes (q -systems)

We can instead consider the \mathbb{F}_q -span \mathcal{U} of the columns of G .

$$\begin{array}{cccc} & \downarrow & \downarrow & \downarrow \\ \left\langle \begin{array}{cccc} \mathcal{G}_{1,1} & \mathcal{G}_{1,2} & \cdots & \mathcal{G}_{1,n} \\ \mathcal{G}_{2,1} & \mathcal{G}_{2,2} & \cdots & \mathcal{G}_{2,n} \\ \vdots & \vdots & & \vdots \\ \mathcal{G}_{k,1} & \mathcal{G}_{k,2} & \cdots & \mathcal{G}_{k,n} \end{array} \right\rangle & & & \mathbb{F}_q \end{array}$$

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Definition

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Corollary

Let \mathcal{M} be the q -matroid induced by C . Then

$$\text{crit}(\mathcal{M}) = \min\{r \in \mathbb{N} \mid \exists \text{ } \mathbb{F}_{q^m}\text{-hyperplanes } H_1, \dots, H_r \text{ such that } \mathcal{U} \cap H_1 \cap \dots \cap H_r = 0\}.$$

Critical Problem for \mathbb{F}_{q^m} -Linear Codes

Lemma (A., Borello, Neri, Ravagnani 2022)

A non-degenerate $[n, k]_{q^m/q}$ code contains a codeword of rank equal to $\min\{m, n\}$.

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Let $\mathcal{M} = \mathcal{M}[C]$. Then $\text{crit}(\mathcal{M}) = \lceil \frac{n}{m} \rceil$.

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Sketch of the Proof:

- Write $n = am + b$, with $a, b \in \mathbb{N}_0$ and $0 \leq b < m$.

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What about the other cases?

Thank you for the attention!
Grazie per l'attenzione!