

ALGEBRAIC GEOMETRY CODES IN THE SUM-RANK METRIC

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OPEn problems in the (sum-)R**ANk** metric

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Codes in the sum-rank metric: general definitions

Take k a field (think about $k = \mathbb{F}_q$), $\mathbf{V} = (V_1, \dots, V_s)$ s -uple of k -vector spaces ($n_i = \dim_k V_i$)

$$\text{End}_k(\mathbf{V}) := \text{End}_k(V_1) \times \cdots \times \text{End}_k(V_s) \simeq M_{n_1, n_1}(k) \times \cdots \times M_{n_s, n_s}(k)$$

The **sum-rank distance** between $\varphi = (\varphi_1, \dots, \varphi_s), \psi = (\psi_1, \dots, \psi_s) \in \text{End}_k(\mathbf{V})$ is

$$d_{\text{srk}}(\varphi, \psi) := \sum_{i=1}^s \text{rk}(\varphi_i - \psi_i).$$

Definition

A **code** \mathcal{C} in the sum-rank metric is a k -linear subspace of $\text{End}_k(\mathbf{V})$ endowed with the sum-rank distance. Its **length** n is $\sum_{i=1}^s n_i^2$. Its **dimension** κ is $\dim_k \mathcal{C}$. Its **minimum distance** is

$$d := \min \{ d_{\text{srk}}(\varphi, \mathbf{0}) \mid \varphi \in \mathcal{C}, \varphi \neq \mathbf{0} \}.$$

$n_i = 1 \forall i \rightsquigarrow$ codes of length s in the **Hamming metric**
 $s = 1 \rightsquigarrow$ codes in the **rank metric**

Particular case and the Singleton bound

$\ell =$ finite extension of k of degree r (think about $\ell = \mathbb{F}_{q^r}$)

$\mathbf{V} = (V_1, \dots, V_s)$, s -uple of ℓ -vector spaces $\rightsquigarrow \text{End}_k(\mathbf{V})$ is a ℓ -vector space

\rightsquigarrow ℓ -linear codes in the sum-rank metric: ℓ -linear subspaces $\mathcal{C} \subset \text{End}_k(\mathbf{V})$

\rightsquigarrow ℓ -variants of the parameters:
(taking $\dim_k V_i = r$)

$$\begin{cases} n_\ell := sr & \ell\text{-length} \\ \kappa_\ell := \dim_\ell \mathcal{C} & \ell\text{-dimension} \\ \text{the minimum distance stays unchanged} \end{cases}$$

Singleton bound

The ℓ -parameters of \mathcal{C} satisfy

$$d + \kappa_\ell \leq n_\ell + 1.$$

Codes with parameters attaining this bound are called **Maximum Sum-Rank Distance (MSRD)**.

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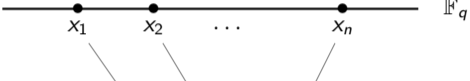
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For the k -parameters this reads

$$rd + \kappa \leq n + r.$$

Algebraic and geometric constructions in the Hamming and rank metric

Reed–Solomon (RS) codes:



The diagram shows a horizontal line representing the finite field \mathbb{F}_q . Three points on the line are labeled x_1 , x_2 , and x_n , with an ellipsis between x_2 and x_n . Arrows point from each of these points down to the corresponding term in the set definition below.

$$RS(\mathbf{x}) := \{(P(x_1), P(x_2), \dots, P(x_n)) \mid P \in \mathbb{F}_q[x]_{<\kappa}\}$$

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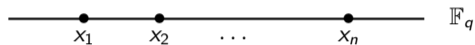
✓ **Optimal parameters:** $\kappa + d = n + 1$

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⚠ **Drawback:** $n \leq q$

Algebraic and geometric constructions in the Hamming and rank metric

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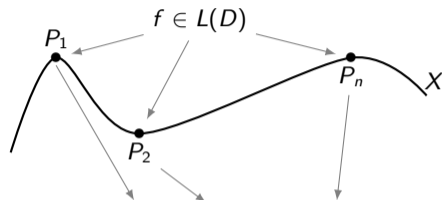


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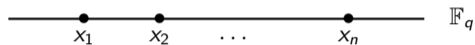
Algebraic Geometry (AG) codes:



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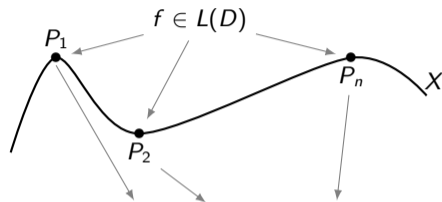
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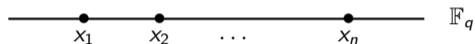


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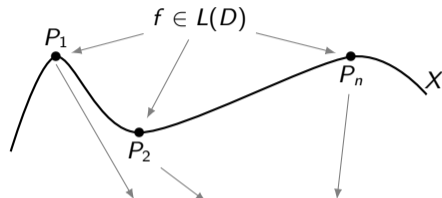
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Gabidulin codes:

- ✓ **Optimal parameters:** MRD codes
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AG codes in the rank metric:

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Algebraic and geometric constructions in the Hamming and rank metric

Reed–Solomon (RS) codes:



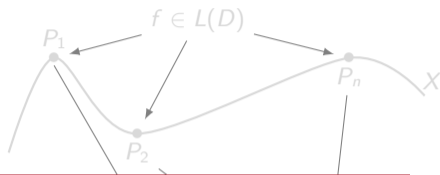
$$RS(x) := (f(x_1), f(x_2), \dots, f(x_n))$$

On today's menu

Equivalent constructions in the **sum-rank metric** of

- Reed–Solomon codes
 ↪ **linearized Reed-Solomon codes** (Martínez-Peñas, 2018)
- Algebraic Geometry codes
 ↪ **linearized Algebraic Geometry codes** (B. & Caruso, 2023)

Algebraic Geometry (AG) codes:



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Ore polynomials and Linearized Reed–Solomon codes

$$\ell = \mathbb{F}_{q^r}, k = \mathbb{F}_q, \text{Gal}(\ell/k) = \langle \Phi \rangle$$

($\Phi : \ell \rightarrow \ell$ is the q -Frobenius)

$$\begin{array}{c} \ell \\ \downarrow \Phi \\ k \end{array}$$

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The ring of **Ore polynomials** $\ell[T; \Phi]$ is the ring of polynomials with coefficients in ℓ , with usual $+$ and

$$T \times a = \Phi(a)T \quad \forall a \in \ell.$$

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$$\begin{aligned} \text{ev} : \quad \ell[T; \Phi] &\rightarrow \text{End}_k(\ell) \\ P &\mapsto P(\Phi). \end{aligned}$$

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Linearized Reed–Solomon codes

(Martínez-Peñas, 2018)

for $\underline{c} = (c_1, \dots, c_s) \in \ell^s$

and $\kappa \in \mathbb{Z}$

consider

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As in the Hamming case, we can **try to overcome the problem using algebraic curves**

Main idea: consider Ore polynomials with coefficients in the function field of a curve

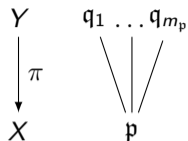
Our setting

$$\begin{array}{c} Y \\ \downarrow \pi \\ X \end{array}$$

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$K := k(X), L := k(Y)$ the field of functions of X and Y , $\text{Gal}(L/K) = \langle \Phi \rangle$

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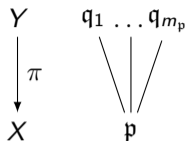


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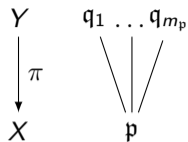
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For $x \in K^{\times}$, consider the algebra of Ore polynomials with coefficient in L

$$D_{L,x} := L[T; \Phi]/(T^r - x)$$

and for all $\mathfrak{p} \in X$, the algebras $D_{L_{\mathfrak{p}},x} := K_{\mathfrak{p}} \otimes_K D_{L,x} = L_{\mathfrak{p}}[T; \Phi]/(T^r - x)$.

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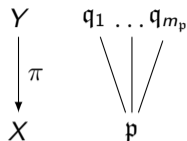
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- Riemann–Roch spaces of $D_{L,x}$
 \rightsquigarrow need to define a valuation for $f \in D_{L,x}$
- a Riemann's inequality
- equivalent of “evaluate at a rational point”



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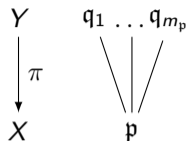
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The valuation

Define the valuation map $w_{\mathfrak{q},x} : D_{L_{\mathfrak{p}},x} \rightarrow \frac{1}{r}\mathbb{Z} \sqcup \{\infty\}$ ($1 \leq j \leq m_{\mathfrak{p}}$): for $f = f_0 + f_1 T + \cdots + f_{r-1} T^{r-1}$,

$$w_{\mathfrak{q},x}(f) = \min_{0 \leq i < r} \left(\frac{v_{\mathfrak{q}}(f_i)}{e_{\mathfrak{q}}} + i \cdot \frac{v_{\mathfrak{p}}(x)}{r} \right) \quad e_{\mathfrak{q}} = \text{ramification index of } \mathfrak{q}$$

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For $p \in X$, $e_p w_{q,x}(f) \in \frac{1}{b_p}\mathbb{Z}$ where b_p is the denominator of $\rho_p = \frac{e_p \cdot v_p(x)}{r}$ after reduction

Divisors and Riemann–Roch spaces over Ore polynomial rings

Let $E = \sum_{\mathfrak{q} \in Y} n_{\mathfrak{q}} \mathfrak{q} \in \text{Div}(Y) \otimes \mathbb{Q}$, with $n_{\mathfrak{q}} \in \frac{1}{b_{\mathfrak{p}}} \mathbb{Z}$ where $\mathfrak{p} = \pi(\mathfrak{q})$.

Definition (Riemann–Roch spaces of $D_{L,x}$)

The *Riemann–Roch space* of $D_{L,x}$ associated with E is

$$\Lambda_{L,x}(E) := \left\{ f \in D_{L,x} \mid e_{\mathfrak{q}} w_{\mathfrak{q},x}(f) + n_{\mathfrak{q}} \geq 0 \text{ for all } \mathfrak{q} \in Y \right\}.$$

$$\Rightarrow \Lambda_{L,x}(E) = \bigoplus_{i=0}^{r-1} L_Y(E_i) \cdot T^i, \text{ where } E_i := \sum_{\mathfrak{q} \in Y} \lfloor n_{\mathfrak{q}} + i \cdot \rho_{\pi(\mathfrak{q})} \rfloor \mathfrak{q} \in \text{Div}(Y) \quad (0 \leq i < r).$$

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Lemma: We have $\sum_{i=0}^{r-1} \deg_Y(E_i) = r \cdot \deg_Y(E) - \frac{r^2}{2} \sum_{\mathfrak{p} \in X} \frac{b_{\mathfrak{p}}-1}{b_{\mathfrak{p}} e_{\mathfrak{p}}} \deg_X(\mathfrak{p})$.

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Let $E = \sum_{\mathfrak{q} \in Y} n_{\mathfrak{q}} \mathfrak{q} \in \text{Div}(Y) \otimes \mathbb{Q}$, with $n_{\mathfrak{q}} \in \frac{1}{b_{\mathfrak{p}}} \mathbb{Z}$ where $\mathfrak{p} = \pi(\mathfrak{q})$.

Definition (Riemann–Roch spaces of $D_{L,x}$)

The *Riemann–Roch space* of $D_{L,x}$ associated with E is

$$\Lambda_{L,x}(E) := \left\{ f \in D_{L,x} \mid e_{\mathfrak{q}} w_{\mathfrak{q},x}(f) + n_{\mathfrak{q}} \geq 0 \text{ for all } \mathfrak{q} \in Y \right\}.$$

$\Rightarrow \Lambda_{L,x}(E) = \bigoplus_{i=0}^{r-1} L_Y(E_i) \cdot T^i$, where $E_i := \sum_{\mathfrak{q} \in Y} \lfloor n_{\mathfrak{q}} + i \cdot \rho_{\pi(\mathfrak{q})} \rfloor \mathfrak{q} \in \text{Div}(Y)$ ($0 \leq i < r$).

Lemma: We have $\sum_{i=0}^{r-1} \deg_Y(E_i) = r \cdot \deg_Y(E) - \frac{r^2}{2} \sum_{\mathfrak{p} \in X} \frac{b_{\mathfrak{p}}-1}{b_{\mathfrak{p}} e_{\mathfrak{p}}} \deg_X(\mathfrak{p})$.

Riemann's inequality for $\Lambda_{L,x}(E)$

The space $\Lambda_{L,x}(E)$ is finite dimensional over k and

$$\dim_k \Lambda_{L,x}(E) \geq r \cdot \deg_Y(E) - \frac{r^2}{2} \sum_{\mathfrak{p} \in X} \frac{b_{\mathfrak{p}}-1}{b_{\mathfrak{p}} e_{\mathfrak{p}}} \deg_X(\mathfrak{p}) - r \cdot (g_Y - 1).$$

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Linearized Algebraic Geometry codes

(B. & Caruso, 2023)

Let $E = \sum_{\mathfrak{q} \in Y} n_{\mathfrak{q}} \mathfrak{q} \in \text{Div}_{\mathbb{Q}}(Y)$. Chose $x \in K$ and $\mathfrak{p}_1, \dots, \mathfrak{p}_s$ rational places on X such that the hypotheses hold. Consider

$$\alpha : \begin{array}{ccc} \Lambda_{L,x}(E) & \longrightarrow & \prod_{i=1}^s \text{End}_k(V_{\mathfrak{p}_i}) \\ f & \mapsto & (\bar{\varepsilon}_{\mathfrak{p}_1}(f), \dots, \bar{\varepsilon}_{\mathfrak{p}_s}(f)). \end{array}$$

The code $\mathcal{C}(x; E; \mathfrak{p}_1, \dots, \mathfrak{p}_s)$ is defined as the image of α .

Code's parameters

We study the parameters of the k -linear code \mathcal{C} in $\prod_{i=1}^s \text{End}_k(V_{p_i})$.

The **length** is $n = sr^2$

$(\dim_k V_{p_i} = r)$

Theorem (B. & Caruso, 2023)

Assume $\deg_Y(E) < sr$. Assume the **previous hypotheses** and that $D_{L,X}$ contains no nonzero **divisors**. Then, the **dimension** κ and the **minimum distance** d of $\mathcal{C}(X; E; p_1, \dots, p_s)$ satisfy

$$\kappa \geq r \cdot \deg_Y(E) - r \cdot (g_Y - 1) - \frac{r^2}{2} \sum_{p \in X} \frac{b_p - 1}{b_p e_p} \deg_X(p),$$

$$d \geq sr - \deg_Y(E).$$

Singleton bound:

$$rd + \kappa \leq n + r$$

We have:

$$rd + \kappa \geq n + r - \left(r \cdot g_Y + \frac{r^2}{2} \sum_{p \in X} \frac{b_p - 1}{b_p e_p} \deg_X(p) \right)$$

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$X = \mathbb{P}_k^1$, $Y = \mathbb{P}_\ell^1$, $E = \frac{\kappa}{r} \cdot \infty \in \text{Div}_{\mathbb{Q}}(Y) \rightsquigarrow$ **linearized Reed–Solomon codes!**

Our lower bounds \Rightarrow **MSRD** codes

Asymptotic behaviour: better than the Gilbert–Varshamov bound

Theorem

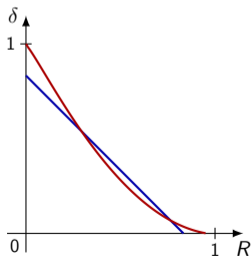
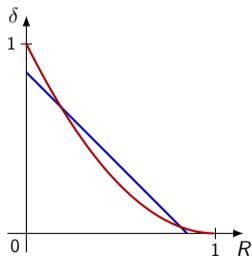
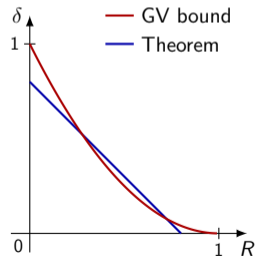
(B. & Caruso, 2023)

We assume that q is a square. For all real numbers $R, \delta \in (0, 1)$ such that

$$R < 1 - \delta - \frac{2}{\sqrt{q} - 1} + \frac{1}{r(\sqrt{q} - 1)}$$

there exists a ℓ -linear LAG code with rate at least R and relative minimum distance at least δ .

We compare to the sum-rank version of the Gilbert–Varshamov bound :

 $q = 7^2, r = 1$  $q = 11^2, r = 2$  $q = 11^2, r \rightarrow \infty$

Take away and further questions

- ✓ We have Algebraic Geometry codes in the sum-rank metric, of length sr^2
- ✓ The s in the length is the number of rational places \rightsquigarrow can take $s > q$
- ✓ We beat the sum-rank metric version of the Gilbert-Varshamov bound



Take away and further questions



- ✓ We have Algebraic Geometry codes in the sum-rank metric, of length sr^2
 - ✓ The s in the length is the number of rational places \rightsquigarrow can take $s > q$
 - ✓ We beat the sum-rank metric version of the Gilbert-Varshamov bound
- **decoding problem**: work in progress with X. Caruso and F. Drain (our Ph.D student) (decoding algorithm for linearized Reed–Solomon codes ✓)
 - **duality theorem** for the codes $\mathcal{C}(x; E; p_1, \dots, p_s)$: work in progress with X. Caruso (require to develop the theory of differential forms and residues in our framework)

Grazie per l'attenzione!