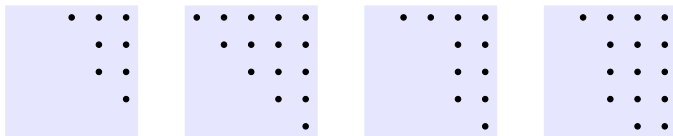


Ferrers Diagram Rank-Metric Codes

Alessandro Neri

OpeRa2024 – February 14th, 2024



- 1 (Ferrers Diagram) Rank-Metric Codes
 - Preliminary Definitions
 - Link to Subspace Codes in Network Coding
 - Ferrers Diagram Rank-Metric Codes
- 2 The Etzion-Silberstein (ES) Conjecture
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Part 0 – Warm Up
Linear Spaces of Matrices: A Few Questions

Questions for the Audience

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It depends on the field \mathbb{F} !

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- **Question 2.1:** What is the largest dimension? **1**
- **Question 2.2:** Can it have dimension larger than 1? **No**

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$$\frac{(n-r+1)(n-r+2)}{2}.$$

- ▶ (Etzion, Gorla, Ravagnani, Wachter Zeh 2016) if $|\mathbb{F}| \geq n - 1$;
- ▶ (N., Stanojkovski 2023) for every \mathbb{F} .

Part I

(Ferrers Diagram) Rank-Metric Codes

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Rank-Metric Codes

The **rank distance** d_{rk} on $\mathbb{F}^{n \times m}$ is defined by (Delsarte, 1978)

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N.B. We could also consider nonlinear rank-metric codes. But not in this talk.

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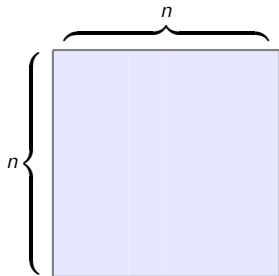
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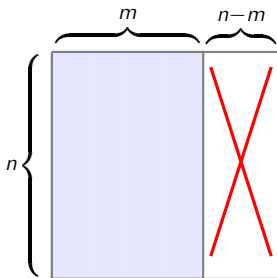


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- Remove the last $n - m$ columns (**puncturing**) from an $[n \times n, n(n - (r + (n - m))) + 1, r + (n - m)]_{\mathbb{F}}$ MRD code.

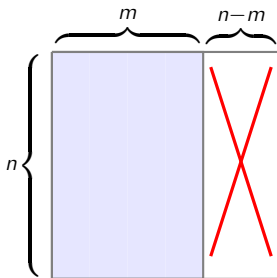


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It is enough to do it in the **square case**.

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- $\mathbb{F} = \mathbb{F}_q$;
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Skew-Algebra Isomorphism

$$\bigoplus_{i=0}^{n-1} \mathbb{L} \cdot \sigma^i =: \mathbb{L}[\sigma] \cong \text{End}_{\mathbb{F}}(\mathbb{L}) \cong \mathbb{F}^{n \times n}.$$

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Delsarte-Gabidulin Codes (II)

Nullity-Degree Bound

If $P(\sigma) \in \mathbb{L}[\sigma]$ is nonzero, then

$$\dim_{\mathbb{F}}(\ker(P)) \leq \deg_{\sigma}(P).$$

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Delsarte-Gabidulin construction: (Delsarte, 1978 - Gabidulin, 1985 - ...)

$$\mathbb{L}[\sigma]_{n-r} := \bigoplus_{i=0}^{n-r} \mathbb{L} \cdot \sigma^i$$

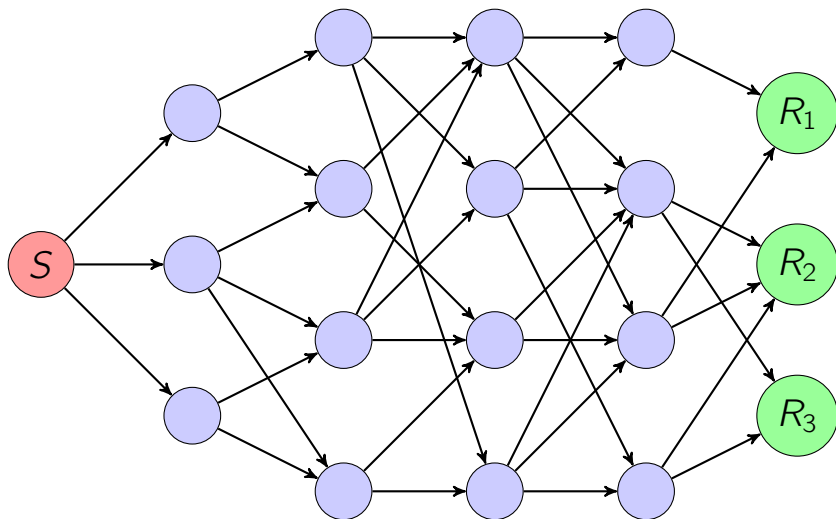
is (isomorphic to) an $[n \times n, n(n-r+1), r]_{\mathbb{F}}$ code.

Communication Through a Network

Question: Why do we care about rank-metric codes?

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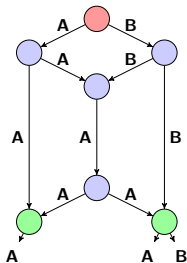
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Routing vs. Network Coding

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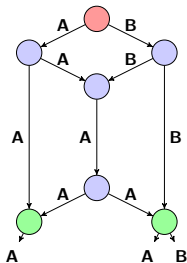
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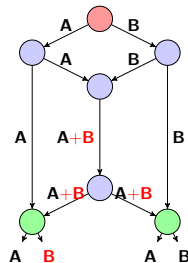
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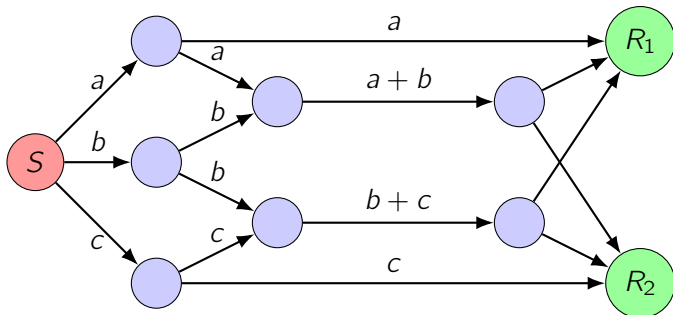


Network coding:

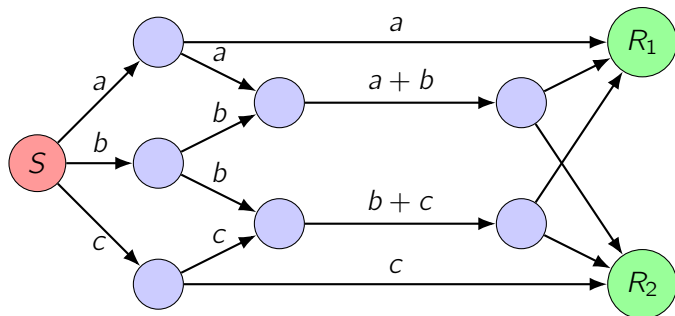
- Nodes can forward **linear combinations**
- ⇒ **higher throughput achievable!**



Example



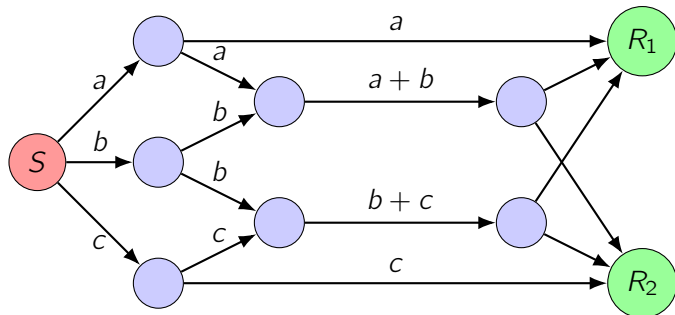
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Receiver R_1 and Receiver R_2 get, respectively, the following packets:

$$\begin{pmatrix} a \\ a+b \\ b+c \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix}, \quad \begin{pmatrix} a+b \\ b+c \\ c \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix}.$$

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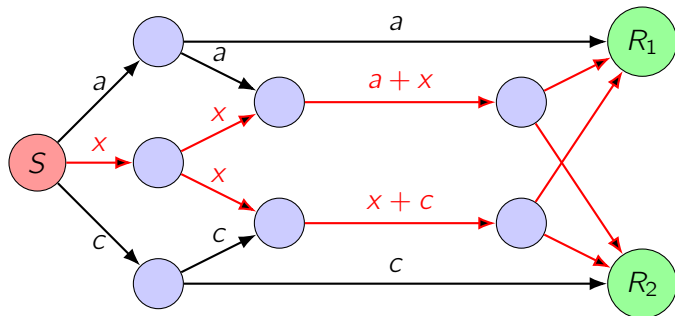


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N.B. What is sent and what is received have **the same 3-dim'l rowspace**

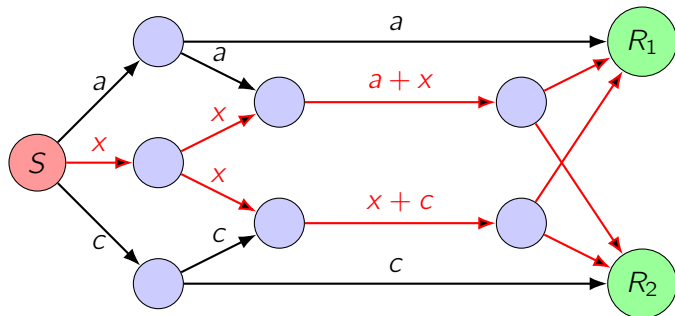
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N.B. The two rowspaces still share a 2-dim'l subspace.

Subspace Codes

(Koetter, Kschischang, 2008)

A **(constant dimension) subspace code** is a subset of the Grassmannian $\mathcal{G}_{\mathbb{F}}(n, n + m)$ endowed with the

Injection Distance: $d_I(\mathcal{U}, \mathcal{V}) := n - \dim_{\mathbb{F}}(\mathcal{U} \cap \mathcal{V})$

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Natural notion of \mathbb{F} -linearity

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The above embedding can be generalized.

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- To each set of n pivot positions, we can associate a **Ferrers diagram**¹, and a **Ferrers diagram matrix space**¹!

¹yet to be defined

Pivot Positions \longleftrightarrow Ferrers Diagrams

$n = m = 5$; pivots $P = \{2, 5, 6, 7, 9\}$

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$$\mathcal{G}_{\mathbb{F}}^P(5, 10) = \left\{ \text{rs} \left(\begin{array}{cccccccccc} 0 & \mathbf{1} & a_{1,2} & a_{1,3} & 0 & 0 & 0 & a_{1,4} & 0 & a_{1,5} \\ 0 & 0 & 0 & 0 & \mathbf{1} & 0 & 0 & a_{2,4} & 0 & a_{2,5} \\ 0 & 0 & 0 & 0 & 0 & \mathbf{1} & 0 & a_{3,4} & 0 & a_{3,5} \\ 0 & 0 & 0 & 0 & 0 & 0 & \mathbf{1} & a_{4,4} & 0 & a_{4,5} \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & \mathbf{1} & a_{5,5} \end{array} \right) : a_{i,j} \in \mathbb{F} \right\}.$$

Pivot Positions \longleftrightarrow Ferrers Diagrams

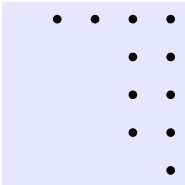
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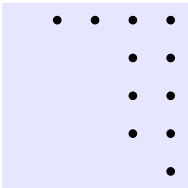
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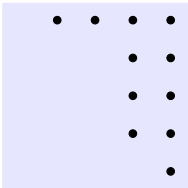
Isometry (Etzion, Silberstein 2009)

$$(\mathcal{G}_{\mathbb{F}}^P(n, 2n), d_I) \cong (\mathbb{F}^{\mathcal{D}_P}, d_{\text{rk}})$$

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Isometry (Etzion, Silberstein 2009)

$$(\mathcal{G}_{\mathbb{F}}^P(n, 2n), d_I) \cong (\mathbb{F}^{\mathcal{D}_P}, d_{\text{rk}})$$

- Natural notion of \mathbb{F} -linearity
- Multilevel construction for subspace codes (Etzion, Silberstein 2009)

Ferrers Diagrams

A **Ferrers diagram** \mathcal{D} of order n is a subset of $[n]^2$ s.t.:

- $\mathcal{D} \neq \emptyset$;
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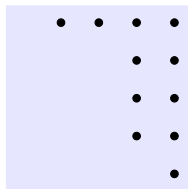
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Example

$$\mathcal{D} = \{(1, 2), (1, 3), (1, 4), (1, 5), \\ (2, 4), (2, 5), (3, 4), (3, 5), \\ (4, 4), (4, 5), (5, 5)\}$$



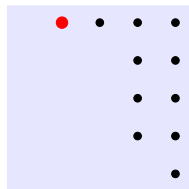
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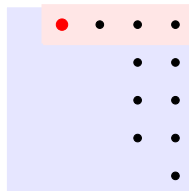
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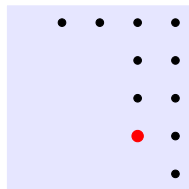
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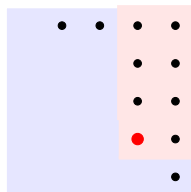
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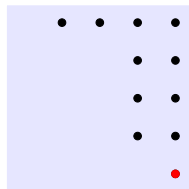
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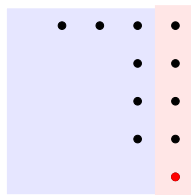
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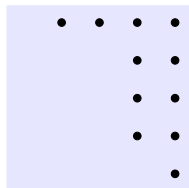


Representations of Ferrers Diagrams

Subset of $[n]^2$

Graphical Repr.

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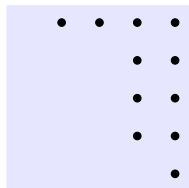
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Subset of $[n]^2$

Graphical Repr.

Vector of Columns

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$$\mathcal{D} = (0, 1, 1, 4, 5)$$

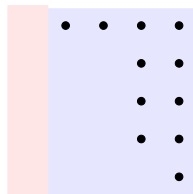
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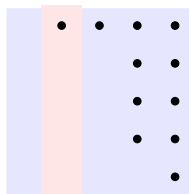
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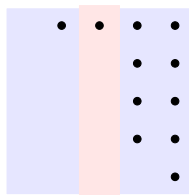
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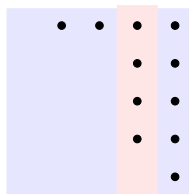
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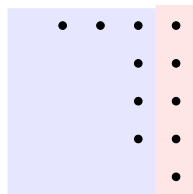
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Ferrers Diagram Matrix Spaces

- (Finite) field \mathbb{F} .
- Ferrers diagram \mathcal{D} of order n .

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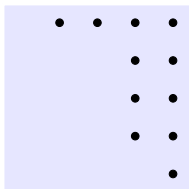
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Ferrers Diagram Rank-Metric Codes

The rank distance d_{rk} on $\mathbb{F}^{n \times n}$ is defined by

$$d_{\text{rk}}(X, Y) := \text{rk}(X - Y), \quad X, Y \in \mathbb{F}^{n \times n}.$$

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A $[\mathcal{D}, k, r]_{\mathbb{F}}$ **Ferrers diagram rank-metric code** \mathcal{C} is a k -dimensional subspace of $\mathbb{F}^{\mathcal{D}}$ endowed with the rank distance. The **minimum rank distance** r is equal to the **minimum rank**

$$r = \min\{\text{rk}(A) \mid A \in \mathcal{C} \setminus \{0\}\}.$$

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N.B. We could also consider nonlinear Ferrers diagram rank-metric codes. But not in this talk.

Part II

The Etzion-Silberstein Conjecture

Contents

- 1 (Ferrers Diagram) Rank-Metric Codes
 - Preliminary Definitions
 - Link to Subspace Codes in Network Coding
 - Ferrers Diagram Rank-Metric Codes
- 2 The Etzion-Silberstein (ES) Conjecture
 - A Singleton Bound and the Conjecture
 - An Illustrative Example: Triangular Diagrams
- 3 Recent Results on the ES Conjecture
 - A Modular Approach

A Singleton-like Bound

Question: Let $\mathcal{D} = (c_1, \dots, c_n)$ be a Ferrers diagram, $2 \leq r \leq n$. Find

$$\kappa_{\mathbb{F}}(\mathcal{D}, r) = \max\{k \in \mathbb{N} : \exists \text{ an } [\mathcal{D}, k, r]_{\mathbb{F}} \text{ code}\}.$$

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$$\kappa_{\mathbb{F}}(\mathcal{D}, r) \leq \nu_{\min}(\mathcal{D}, r) := \min_{0 \leq j < r} \nu_j(\mathcal{D}, r)$$

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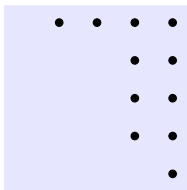
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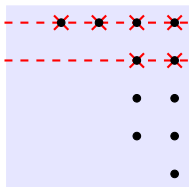
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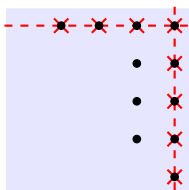
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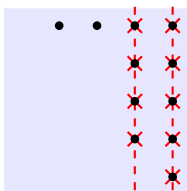
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A Singleton-like Bound

Question: Let $\mathcal{D} = (c_1, \dots, c_n)$ be a Ferrers diagram, $2 \leq r \leq n$. Find

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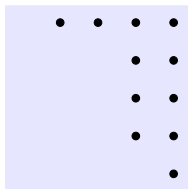
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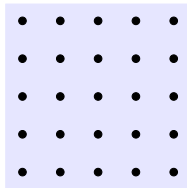
Two constructive proofs for some special cases

- (1) **Subcodes of MRD codes**: (Etzion, Silberstein, 2009) (Etzion, Gorla, Ravagnani, Wachter-Zeh, 2016) (Gorla, Ravagnani, 2017) (Antrobus, Gluesing-Luerssen, 2019) (Liu, Chang, Feng, 2019)
- (2) **MDS-constructible Ferrers diagrams** (for fields large enough): (Etzion, Gorla, Ravagnani, Wachter-Zeh, 2016)

Two Special Cases

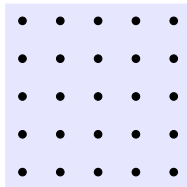
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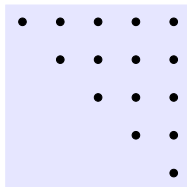
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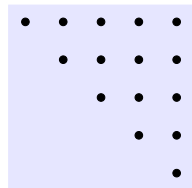


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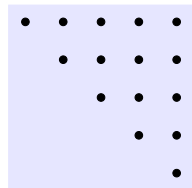


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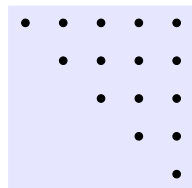
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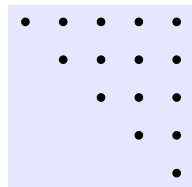
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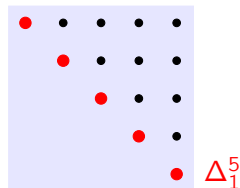
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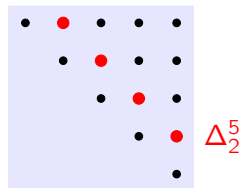
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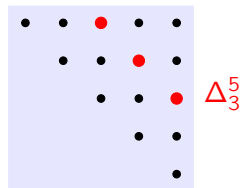
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Let \mathcal{D} be a Ferrers diagram of order n , and let $r \in \{2, \dots, n\}$.

Formal Definition: The pair (\mathcal{D}, r) is **MDS-constructible** if

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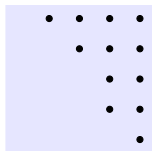
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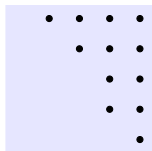
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$$\begin{aligned} \nu_{\min}(\mathcal{D}, 3) &= \min\{5, 4, 3\} &&= 3 \\ \nu_{\text{MDS}}(\mathcal{D}, 3) &= 0 + 2 + 1 + 0 + 0 &&= 3 \end{aligned}$$

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Theorem (Etzion, Gorla, Ravagnani, Wachter-Zeh 2016)

If (\mathcal{D}, r) is MDS-constructible and $|\mathbb{F}| \geq \max_i |\mathcal{D} \cap \Delta_i^n| - 1$, then

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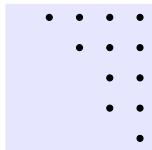
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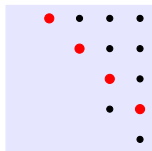
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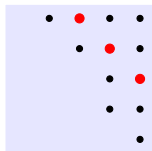
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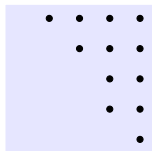
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Part III

Recent Results on the ES Conjecture

Joint work with Mima Stanojkovski

Check out our preprint!



A. Neri, M. Stanojkovski. “A proof of the Etzion-Silberstein conjecture for monotone and MDS-constructible Ferrers diagrams”, arXiv:2306.16407, 2023.



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- 1 (Ferrers Diagram) Rank-Metric Codes
 - Preliminary Definitions
 - Link to Subspace Codes in Network Coding
 - Ferrers Diagram Rank-Metric Codes
- 2 The Etzion-Silberstein (ES) Conjecture
 - A Singleton Bound and the Conjecture
 - An Illustrative Example: Triangular Diagrams
- 3 Recent Results on the ES Conjecture
 - A Modular Approach

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Achtung: The ES Conjecture is still **widely open**

The End

Thank you! Dankjewel!
Grazie!



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- First crucial idea: **embed** Ferrers diagrams matrix spaces in **larger matrix spaces**. (Lemmino)
- Second crucial idea: use **combinatorial properties** of MDS-constructible pairs. (Theorem 1)
- Third crucial idea: Use **flag** associated to **nilpotent endomorphism** $\sigma - \text{id}$ when $n = p^m$. (Theorem 2)

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Achtung: Need a **smart choice** of an \mathbb{F} -basis \mathcal{B} of \mathbb{L} !

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Smart choice: $\mathcal{B} = (\beta_1, \dots, \beta_n)$ compatible with \mathcal{F} : $\langle \beta_1, \dots, \beta_i \rangle_{\mathbb{F}} = \mathcal{F}_i$.

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Strictly monotone Ferrers Diagram:

$\mathcal{D} = (c_1, \dots, c_n)$ if it satisfies

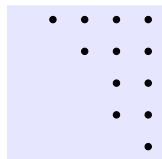
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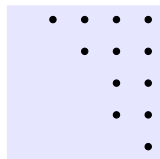


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Theorem (N., Stanojkovski, 2023)

Let $\mathcal{D} = (c_1, \dots, c_n)$ be a **strictly monotone** Ferrers diagram of order $n = p^m$. Then for any \mathcal{F} -compatible basis \mathcal{B} of \mathbb{L} we have

$$\mathbb{F}^{\mathcal{D}} \cong_{\mathcal{B}} \mathbb{L}[\sigma; \mathcal{D}] = \bigoplus_{i=1}^n \mathcal{F}_{c_i} \bar{\sigma}^{i-1} = \left\{ \sum_{i=1}^n \lambda_i \bar{\sigma}^{i-1} : \lambda_i \in \mathcal{F}_{c_i} \right\}.$$

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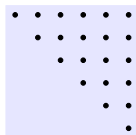
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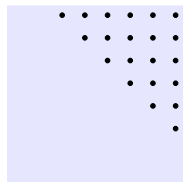
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- $\mathbb{F} = \mathbb{F}_2$. $n = 6$, $r = 4$, $\mathcal{D} = \mathcal{T}_6$.
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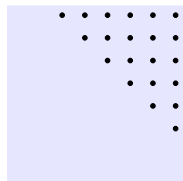
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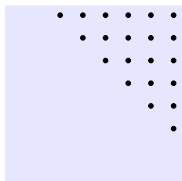
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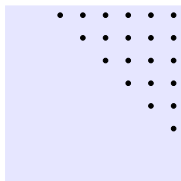
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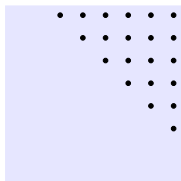
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Example (II)

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

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