

Rank metric, when analogies with Hamming metric fail

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The Inria logo is written in a red, cursive script.

In this talk...

In this talk...

some rank...

In this talk...

some rank... **no** sum-rank...

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some rank... **no** sum-rank... still a lot of *seum*⁽¹⁾

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- you don't speak french;

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- you are over 40;

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(1) Don't try to understand this if:

- you don't speak french;
- you are over 40;
- both.

- 1 The amazing analogies with Hamming metric
- 2 When \mathbb{F}_{q^m} -linearity (seems to) save analogies
 - Is MRD structure generic?
 - Finding constant weight codewords
 - McWilliams extension Theorem
- 3 When there is nothing to save
 - A mysterious generic decoder
 - Tensor product
 - Preserve your mental health: no list decoding
 - Analog constructions?

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Rank metric codes

Definition 1

A *rank-metric code* or *matrix code* is an \mathbb{F}_q -subspace of $\mathbb{F}_q^{m \times n}$ endowed with the metric

$$\text{dist}(\mathbf{A}, \mathbf{B}) \stackrel{\text{def}}{=} \text{Rk}(\mathbf{A} - \mathbf{B}).$$

\mathbb{F}_{q^m} -linear codes

Choosing an \mathbb{F}_q -basis \mathcal{B} of \mathbb{F}_{q^m} we have an isomorphism $\Phi_{\mathcal{B}} : \mathbb{F}_{q^m}^n \xrightarrow{\sim} \mathbb{F}_q^{m \times n}$

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An \mathbb{F}_{q^m} -linear code is an \mathbb{F}_{q^m} -linear subspace of $\mathbb{F}_{q^m}^n$ endowed with the rank metric (via $\Phi_{\mathcal{B}}$).

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In $\mathbb{F}_q^{m \times n}$, these codes correspond to matrix spaces \mathcal{A} satisfying

$$\forall \alpha \in \mathbb{F}_{q^m}, \quad \text{Mul}_{\mathcal{B}}(\alpha)\mathcal{A} = \mathcal{A}.$$

i.e., $\{\text{Mul}_{\mathcal{B}}(\alpha) : \alpha \in \mathbb{F}_{q^m}\} \simeq_{\text{alg}} \mathbb{F}_{q^m}$ is a non-trivial left stabilizer of \mathcal{A} .

What a wonderful world

Hamming world

\mathbb{F}_q^n with Hamming distance



Rank world

$\mathbb{F}_q^{m \times n}$ with Rank distance

What a wonderful world

Hamming world

\mathbb{F}_q^n with Hamming distance \longleftrightarrow

(Supports) $E \subset \{1, \dots, n\}$ \longleftrightarrow

Rank world

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either $V \subseteq \mathbb{F}_q^m$ (Column space)
or $W \subseteq \mathbb{F}_q^n$ (Row space)

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$\mathrm{GL}_m(\mathbb{F}_q) \times \mathrm{GL}_n(\mathbb{F}_q)$ ⁽²⁾

⁽²⁾ $\mathrm{GL}_m(\mathbb{F}_q) \times \mathrm{GL}_n(\mathbb{F}_q) \rtimes \mathbb{Z}/2\mathbb{Z}$ when $m = n$

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Schur product \longleftrightarrow

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Matrix product

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Even better the \mathbb{F}_{q^m} -linear wonderful world

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\mathbb{F}_{q^m} -linear codes come with:

- a revealing vector structure;
- representations with generator and parity-check matrices;
- a duality over \mathbb{F}_{q^m} with the canonical Euclidean product;
- etc.

What a wonderful world II

Hamming world
Reed–Solomon codes

Rank metric world
Gabidulin codes

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Reed–Solomon codes

$x_1, \dots, x_n \in \mathbb{F}_q$ are distinct

Rank metric world

Gabidulin codes

$g_1, \dots, g_n \in \mathbb{F}_{q^m}$ are \mathbb{F}_q -independent

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$x_1, \dots, x_n \in \mathbb{F}_q$ are distinct

$$\{(f(x_i))_{i=1}^n : f \in \mathbb{F}_q[x], \deg f < k\}$$

Rank metric world
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$g_1, \dots, g_n \in \mathbb{F}_{q^m}$ are \mathbb{F}_q -independent

$$\{(P(g_i))_{i=1}^n : P \in \mathcal{L}_q, \deg_q P < k\}$$

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MRD code

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MDS code

Welch–Berlekamp :

corrects $\lfloor \frac{n-k}{2} \rfloor$ errors

Rank metric world

Gabidulin codes

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MRD code

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corrects $\lfloor \frac{n-k}{2} \rfloor$ errors

Looks like...



“Tonight, Santa will come down the chimney and fill your boots with rank metric analogues of all Hamming metric statements, because analogy always holds”

Wrong!



Bazinga!!!

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Yesterday's talk

Genericity of MDS property: For fixed $n, k, q \rightarrow \infty$ the density of MDS codes $\rightarrow 1$;



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Genericity of the MRD property:

- **General matrix codes:** (Byrne, Ravagnani 2020)
 - ▶ For fixed m, n, k , the density for $q \rightarrow \infty$ of MRD codes is $< 1/2$.
 - ▶ For fixed q, n, k the density for $m \rightarrow \infty$ of MRD codes is $< 1/2$.



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- \mathbb{F}_{q^m} -**linear codes:** (Neri, Horlemann–Trautmann, Randrianarisoa, Rosenthal, 2018.)
 - ▶ For fixed q, n, k the density of \mathbb{F}_{q^m} -linear MRD codes for $m \rightarrow \infty$ is 1.



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Finding words of weight one

Problem

Given $\mathcal{C} \subset \mathbb{F}_q^n$ find (if exists) $\mathbf{c} \in \mathcal{C}$ of weight 1

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Given $\mathcal{C} \subset \mathbb{F}_q^n$ find (if exists) $\mathbf{c} \in \mathcal{C}$ of weight 1

Easy! Take $\mathbf{H} \in \mathbb{F}_q^{(n-k) \times n}$ a parity-check matrix of \mathcal{C} and solve:

$$\mathbf{H} \begin{pmatrix} x \\ 0 \\ \vdots \\ 0 \end{pmatrix} = 0, \quad \text{then,} \quad \mathbf{H} \begin{pmatrix} 0 \\ x \\ \vdots \\ 0 \end{pmatrix} = 0, \quad \dots \quad , \mathbf{H} \begin{pmatrix} 0 \\ \vdots \\ 0 \\ x \end{pmatrix} = 0.$$

n linear systems with one variable to solve. (Actually just search zero columns of \mathbf{H}).

In rank metric

Problem

Given $\mathcal{C} \subset \mathbb{F}_q^{m \times n}$ find if exists matrices $\mathbf{C} \in \mathcal{C}$ of rank 1.

Easy!

In rank metric

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Given $\mathcal{C} \subset \mathbb{F}_q^{m \times n}$ find if exists matrices $\mathbf{C} \in \mathcal{C}$ of rank 1.

~~Easy!~~... the problem is NP-hard.

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Given $\mathcal{C} \subset \mathbb{F}_q^{m \times n}$ find if exists matrices $\mathbf{C} \in \mathcal{C}$ of rank 1.

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Problem

Let $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$ be an \mathbb{F}_{q^m} -linear code. Find if exists $\mathbf{c} \in \mathcal{C}$ of rank 1.

In rank metric

Problem

Given $\mathcal{C} \subset \mathbb{F}_q^{m \times n}$ find if exists matrices $\mathbf{C} \in \mathcal{C}$ of rank 1.

Easy!... the problem is NP-hard.

Problem

Let $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$ be an \mathbb{F}_{q^m} -linear code. Find if exists $\mathbf{c} \in \mathcal{C}$ of rank 1.

Easy! compute the subfield subcode.

Summary

	Hamming ($\dim_{\mathbb{F}_q} \mathcal{C} = k$)	Matrix codes ($\dim_{\mathbb{F}_q} \mathcal{C} = mk$)	\mathbb{F}_{q^m} - linear codes ($\dim_{\mathbb{F}_{q^m}} \mathcal{C} = k$)
Finding \mathbf{c} of weight 1	Easy	Hard	Easy
Finding \mathbf{c} of weight $t > 1$ $t = O(1), n \rightarrow \infty$	$O(n^{t+\omega})$	$\tilde{O}(q^{kt})$	$\tilde{O}(q^{(k+1)(t-1)})$

See:

- Ourivski, Johansson 2002;
- Gaborit, Ruatta, Schrek 2013;
- Aragon, Gaborit, Hauteville, Tillich 2018.

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McWilliams extension theorem

Theorem 1 (McWilliams, 1962)

Let $\mathcal{C}, \mathcal{D} \subset \mathbb{F}_q^n$. Suppose there exists a linear map $f : \mathcal{C} \rightarrow \mathcal{D}$ which preserves weights. Then f is the restriction to \mathcal{C} of an isometry of \mathbb{F}_q^n .

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- Counter examples exist for rank metric codes
 - ▶ Barra, Gluesing-Luerssen 2015;
 - ▶ Cruz, Kiermaier, Wassermann, Willems, 2016;
 - ▶ Gorla, Salizzoni, 2024.

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- **Question:** What about \mathbb{F}_{q^m} -linear codes?

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Decoding random codes

Theorem 2 (Gaborit, Ruatta, Schrek, 2013 (informal))

Let $\mathcal{C} \subseteq \mathbb{F}_{q^m}^n$ be a random code of dimension k . Then, there is a polynomial-time decoder correcting $t \approx \frac{n}{k}$ errors w.h.p.

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Remark

For \mathbb{F}_q -linear matrix codes of \mathbb{F}_q -dimension $K = km$, combinatorial solvers run in $\tilde{O}(q^{kt})$.

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What about Hamming metric? No analog but for $kt \leq n$, Prange (1962) runs in $O(n^\omega)$.

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Tensor products

Theorem 3

Let $\mathcal{C}, \mathcal{D} \subset \mathbb{F}_q^n$ with minimum distances $d_{\mathcal{C}}$ and $d_{\mathcal{D}}$, then $\mathcal{C} \otimes \mathcal{D} \subset \mathbb{F}_q^{n^2}$ has distance $d_{\mathcal{C}} d_{\mathcal{D}}$.

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In rank metric?

Take $\mathcal{C} = \mathcal{D} = \left\{ \begin{pmatrix} a & -b \\ b & a \end{pmatrix} : a, b \in \mathbb{R} \right\}$. Then, $d_{\min}(\mathcal{C}) = 2$ and $d_{\min}(\mathcal{C} \otimes \mathcal{C}) = 2$.

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$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \otimes \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} + \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \otimes \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 0 & -1 & -1 & 0 \\ 1 & 0 & 0 & -1 \\ 1 & 0 & 0 & -1 \\ 0 & 1 & 1 & 0 \end{pmatrix}$$

Tensor product — Ground field extension

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Let $\mathcal{C} \subseteq \mathbb{F}_q^n$ be a code of minimum distance d . Then $\mathcal{C} \otimes \mathbb{F}_{q^\ell}$ has minimum distance d .

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$$\text{Rk} \begin{pmatrix} 1 & -i \\ i & 1 \end{pmatrix} = 1$$

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\mathbb{F}_{q^m} -linear codes?

Given $\mathcal{C} \subset \mathbb{F}_{q^m}^n$ of distance d ; \mathcal{C}^{mat} its matrix version. Then, $\mathcal{C}^{mat} \otimes_{\mathbb{F}_q} \mathbb{F}_{q^m}$ has distance 1!

Explanation

In Hamming metric, $d_{min} \geq d$ means, $\forall I \subset \{1, \dots, n\}$, $|I| = d - 1$, the puncturing map

$$p_I : \begin{cases} \mathcal{C} & \longrightarrow \mathbb{F}_q^{n-d+1} \\ \mathbf{c} = (c_i)_{i=1}^n & \longmapsto (c_i)_{i \in \{1, \dots, n\} \setminus I} \end{cases} \quad \text{is injective.}$$

Flatness $\implies p \otimes \text{Id}_{\mathbb{F}_{q^\ell}}$ is injective.

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Flatness $\implies p \otimes \text{Id}_{\mathbb{F}_{q^\ell}}$ is injective.

In Rank metric, consider all the $W \subset \mathbb{F}_q^m$ s.t. $\dim W = d - 1$ and the puncturing maps

$$\begin{cases} \text{Hom}(\mathbb{F}_q^n, \mathbb{F}_q^m) & \longrightarrow \text{Hom}(\mathbb{F}_q^n, \mathbb{F}_q^m / W) \\ f & \longmapsto \pi \circ f \end{cases}, \quad \text{where } \pi : \mathbb{F}_q^m \rightarrow \mathbb{F}_q^m / W$$

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The set W 's to enumerate depend on the ground field!

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In Hamming metric, $d_{min} \geq d$ means, $\forall I \subset \{1, \dots, n\}$, $|I| = d - 1$, the puncturing map

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Flatness $\implies p \otimes \text{Id}_{\mathbb{F}_{q^\ell}}$ is injective. The I 's do not depend on the field!

In Rank metric, consider all the $W \subset \mathbb{F}_q^m$ s.t. $\dim W = d - 1$ and the puncturing maps

$$\begin{cases} \text{Hom}(\mathbb{F}_q^n, \mathbb{F}_q^m) & \longrightarrow \text{Hom}(\mathbb{F}_q^n, \mathbb{F}_q^m / W) \\ f & \longmapsto \pi \circ f \end{cases}, \quad \text{where } \pi : \mathbb{F}_q^m \rightarrow \mathbb{F}_q^m / W$$

The set W 's to enumerate depend on the ground field!

Working in groups

Question

Let $\mathcal{C} \subseteq K^{m \times n}$ a code of minimum distance d .

- Does there exist an infinite extension L/K such that $\mathcal{C} \otimes L$ has minimum distance d ?
- Does there exist an infinite sequence of finite extensions L_i/K such that $[L_i : K] \rightarrow \infty$ such that $\mathcal{C} \otimes L_i$ all have minimum distance d ?

- 1 The amazing analogies with Hamming metric
- 2 When \mathbb{F}_{q^m} -linearity (seems to) save analogies
 - Is MRD structure generic?
 - Finding constant weight codewords
 - McWilliams extension Theorem
- 3 When there is nothing to save
 - A mysterious generic decoder
 - Tensor product
 - Preserve your mental health: no list decoding
 - Analog constructions?

Preserve your mental health : don't reproduce this at home

Hamming Metric Reed–Solomon codes (rate R)	Rank metric Gabidulin codes (rate R)
Welch–Berlekamp $\tau = t/n = (1 - R)/2$	Loidreau $\tau = t/n = (1 - R)/2$
Sudan $1 - \sqrt{2R}$??
Guruswami–Sudan $1 - \sqrt{R}$??

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Theorem 4 (Raviv, Wachter–Zeh, 2016, informal)

There exist an $[n, k]_{q^m}$ Gabidulin code \mathcal{C} and $\mathbf{y} \in \mathbb{F}_{q^m}^n$ at distance $t = \lfloor \frac{d-1}{2} \rfloor + 1$ from \mathcal{C} such that $B(\mathbf{y}, t)$ contains exponentially many codewords of \mathcal{C} .

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Is there always an analog construction?

Hamming metric	Rank metric
Reed–Solomon	Gabidulin

1

2

3

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Hamming metric	Rank metric
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Is there always an analog construction?

Hamming metric	Rank metric
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Reed-Muller	Only over infinite fields ¹

¹Augot, C., Lavauzelle, Neri, 2021

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3

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Hamming metric	Rank metric
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¹Augot, C., Lavauzelle, Neri, 2021

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3

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Hamming metric	Rank metric
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Alternant, Goppa	??
AG	??
Reed-Muller	Only over infinite fields ¹
LDPC	LRPC ² ?
??	Silva-Kschischang-Kötter ³ “Simple codes”

¹Augot, C., Lavauzelle, Neri, 2021

²Gaborit, Murat, Ruatta, Zémor, 2013

³Silva, Kschischang, Kötter, 2010

Conclusion

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- Consider its adaptation to the rank metric setting (which is unknown);
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- search for another colleague.