

# Improved Gilbert-Varshamov bound for sum-rank-metric codes via graph theory

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- ▶ Codewords  $\mathbf{X} \in \mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}$ ,  $\mathbf{X} = (X_1, \dots, X_t)$ ,  $X_i \in \mathbb{F}_q^{n_i \times m_i}$ .

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- ▶ Example:  $\mathbf{n} = (2, 1)$ ,  $\mathbf{m} = (2, 1)$ :

$$\mathbf{x} = \left( \underbrace{\left( \begin{array}{c} \phantom{0} \\ \phantom{0} \end{array} \right)}_{2 \times 2}, \underbrace{\left( \begin{array}{c} \phantom{0} \end{array} \right)}_{1 \times 1} \right).$$

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- ▶ Minimum distance  $\text{srk}(C) := \min\{\text{srk}(\mathbf{X} - \mathbf{Y}) : \mathbf{X}, \mathbf{Y} \in C\}$ .
- ▶  $A_q^{\text{SRK}}(\mathbf{n}, \mathbf{m}, d) = \max\{|C| : C \in \mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}, \text{srk}(C) \geq d\}$ .

## Special cases of the sum-rank metric

- ▶ Hamming metric:  $\mathbf{n} = (1, 1, \dots, 1)$ ,  $\mathbf{m} = (1, 1, \dots, 1)$ :

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- ▶ Rank metric:  $\mathbf{n} = (n)$ ,  $\mathbf{m} = (m)$ :

$$\mathbf{X} = \left( \underbrace{\left( \quad \right)}_{n \times m} \right).$$

# The Gilbert-Varshamov bound for the sum-rank metric

Gilbert-Varshamov bound [Byrne, Gluesing-Luerssen, Ravagnani, 2021]

Let  $D$  be the volume of the ball of radius  $d - 1$  around a codeword. Then

$$A_q^{SRK}(\mathbf{n}, \mathbf{m}, d) \geq \frac{|\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}|}{D}.$$

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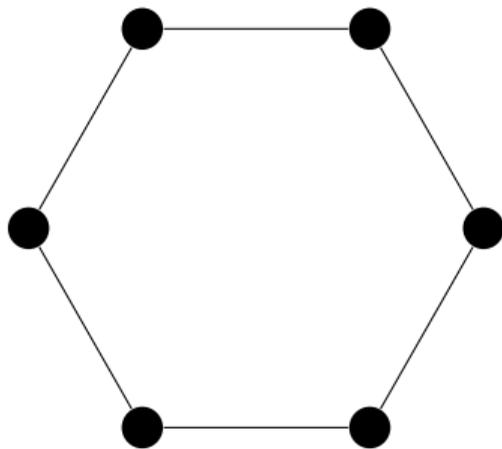
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Main goal: improve the GV bound.

# Graph theory basics

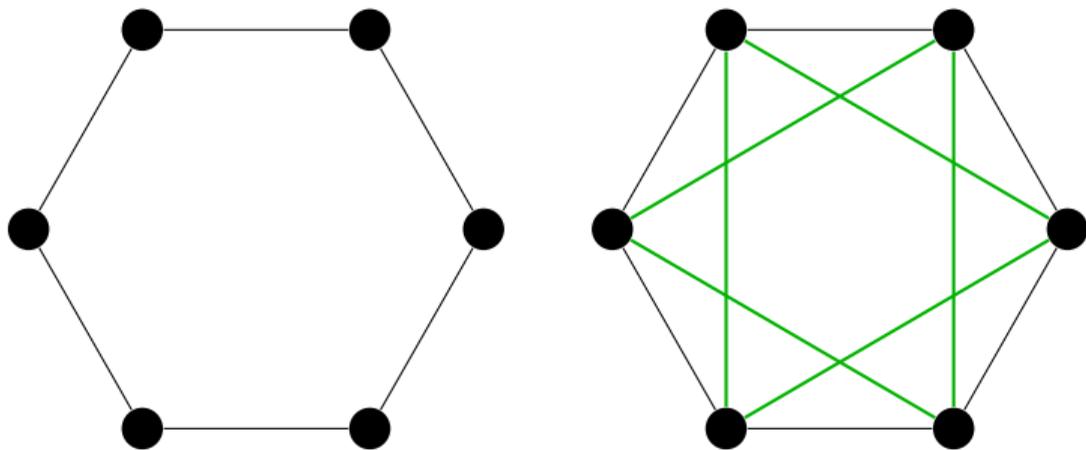
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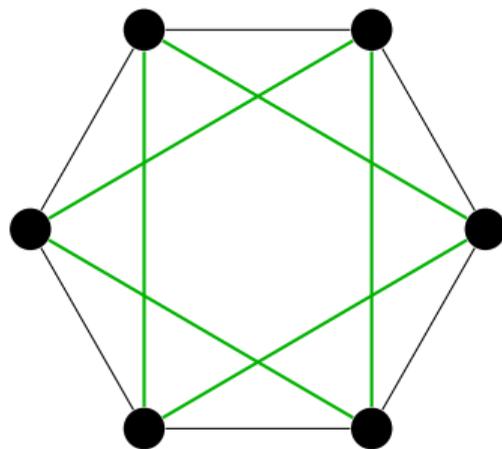
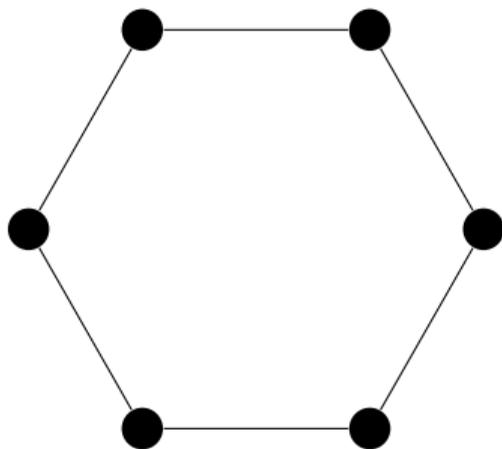
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- ▶  $G = (V, E)$ .
- ▶  $G^k = (V, E_k)$ ,  $E_k := \{(u, v) \in V \times V : d_G(u, v) \leq k\}$ .



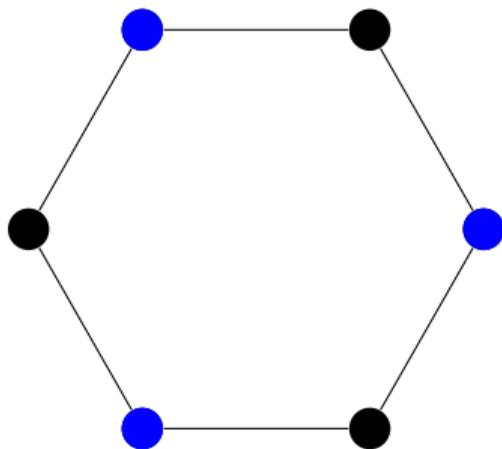
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- ▶  $\alpha(G)$ : Size of largest independent set.

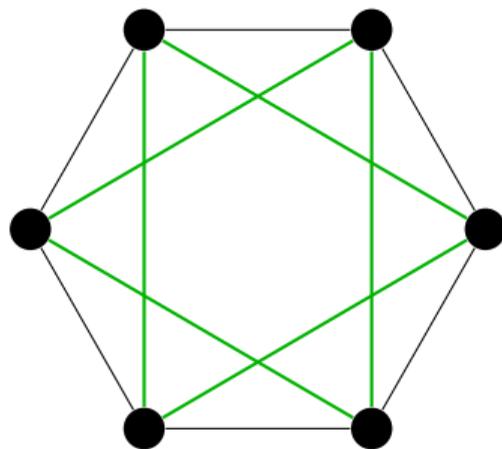


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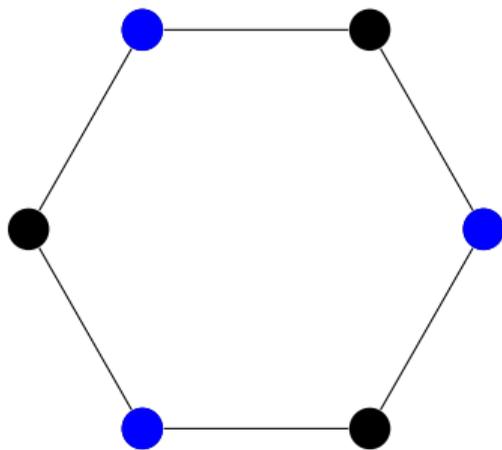


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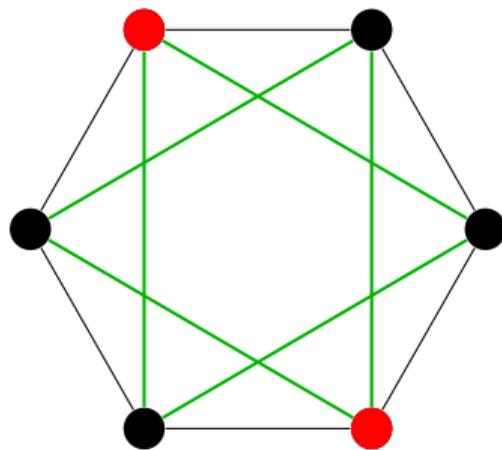


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$$\alpha(G^2) = 2$$

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Proposition [Abiad, Khramova, Ravagnani, 2024]

$$\alpha(\Gamma(\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}})^k) = A_q^{SRK}(\mathbf{n}, \mathbf{m}, k + 1).$$

# The graph-theoretic approach

## Theorem [Bollobás, 1985]

Let  $G = (V, E)$  be a graph with maximum degree  $D$  and fewer than  $\Delta$  triangles. Then,

$$\alpha(G) \geq \frac{|V|}{10D} \left( \log_2 D - \frac{1}{2} \log_2 \left( \frac{\Delta}{|V|} \right) \right).$$

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## Corollary

Let  $G = (V, E)$  be a graph with maximum degree  $D$  and fewer than  $|V|D^{2-\varepsilon}$  triangles. Then,

$$\alpha(G) \geq \varepsilon \frac{|V|}{20D} \log_2 D.$$

## Usage of the graph theoretic approach

- ▶ Hamming metric codes [Jiang, Vardy, 2004], [Vu, Wu, 2005].
- ▶ Constant-weight codes [Kim, Liu, Tran, 2022].
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- ▶ Sum-rank-metric codes?

Corollary [Abiad, R., Tait, 2025+]

Let  $\Gamma(\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}) = (V, E)$  be a sum-rank-metric graph with maximum degree  $D$  and fewer than  $|V|D^{2-\varepsilon}$  triangles. Then,

$$A_q^{SRK}(\mathbf{n}, \mathbf{m}, k+1) = \alpha(\Gamma(\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}})^k) \geq \varepsilon \frac{|V|}{20D} \log_2 D.$$

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## Lemma

Let  $G$  be walk-regular with  $\Delta$  triangles, and denote by  $T$  the amount of edges in a neighborhood of a vertex, then

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Proposition [Abiad, R., Tait, 2025+]

The graph  $\Gamma(\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}})^k$  is walk-regular.

## Corollary [Abiad, R., Tait, 2025+]

Let  $\Gamma(\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}) = (V, E)$  be a sum-rank-metric graph with maximum degree  $D$  and **fewer than  $|V|D^{2-\varepsilon}$  triangles**. Then,

$$A_q^{SRK}(\mathbf{n}, \mathbf{m}, k + 1) \geq \varepsilon \frac{|V|}{20D} \log_2 D.$$

## Corollary [Abiad, R., Tait, 2025+]

Let  $\Gamma(\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}) = (V, E)$  be a sum-rank-metric graph with maximum degree  $D$  and  $T$  edges in a neighborhood. If  $T \leq D^{2-\epsilon}$ , then,

$$A_q^{SRK}(\mathbf{n}, \mathbf{m}, k + 1) \geq \epsilon \frac{|V|}{20D} \log_2 D.$$

The main objective: Show  $T \leq D^{2-\varepsilon}$

Asymptotic in the number of components

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- ▶ Recall the definition of a sum-rank metric:
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- ▶ We let  $t$  (number of components) go to infinity.
- ▶ For technical reasons, we need  $k = \alpha t$  for  $0 < \alpha < \frac{q-1}{q}$ .

The asymptotic regime:

$$t = 1 \left( \left( \quad \right) \right)$$

$$t = 2 \left( \left( \quad \right), \left( \quad \right) \right)$$

$$t = 3 \left( \left( \quad \right), \left( \quad \right), \left( \quad \right) \right)$$

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- ▶ The sum-rank metric is “dominated” by the Hamming components.  
 $D \sim D_H, T \sim T_H.$

# Asymptotic in the number of components

Lemma [Vu, Wu, 2005]

Let  $D_H$  denote the regularity of  $H(t, q)^k$ , and let  $T_H$  be the number of edges in a neighborhood of  $H(t, q)^k$ . Then, **under some technical assumptions**, for sufficiently large  $t$ :

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For a sum-rank-metric space  $\mathbb{F}_q^{n \times m}$  which is “dominated” by Hamming components, **under some technical assumptions**, for sufficiently large  $t$ :

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- ▶ We will consider sum-rank metrics which are “dominated” by a single  $n \times n$  component, with  $n \rightarrow \infty$ :

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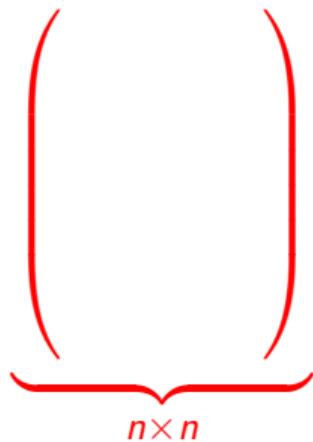
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- ▶ In this case,  $D$  and  $T$  is dominated by contributions from the first component.  $D \sim D_R$ ,  $T \sim T_R$ .

# Asymptotic in the size of a matrix

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  1.  $\text{rk}(X) \leq k$ .
  2.  $\text{rk}(Y) \leq k$ .
  3.  $\text{rk}(X - Y) \leq k$ .

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## Lemma [Marsaglia, 1964]

Let  $X$  and  $Y$  be  $n \times n$  matrices with rank  $i$  and  $j$  respectively. Let the dimension of  $\text{col}(X) \cap \text{col}(Y)$  be  $c$  and the dimension of  $\text{row}(X) \cap \text{row}(Y)$  be  $r$ . Then

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► Column spaces  $\text{col}(X), \text{col}(Y)$  with  $\dim(\text{col}(X) \cap \text{col}(Y)) \geq \frac{k - i - j}{2}$ .

Lemma [Abiad, R., Tait, 2025+]

For a sum-rank-metric space  $\mathbb{F}_q^{n \times m}$  which is “dominated” by a single  $n \times n$  component, **under some technical assumptions**, for sufficiently large  $n$ :

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# Asymptotic in the size of a matrix

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Theorem [Abiad, R., Tait, 2025+]

For a sum-rank-metric space  $\mathbb{F}_q^{\mathbf{n} \times \mathbf{m}}$  which is “dominated” by a single  $n \times n$  component, **under some technical assumptions**, for sufficiently large  $n$ :

$$A_q^{SRK}(\mathbf{n}_n, \mathbf{m}_n, k+1) \geq \varepsilon \frac{|V|}{20D} \log_2 D.$$

# Conclusion

Asymptotic improvement of GV bound [Byrne, Gluesing-Luerssen, Ravagnani, 2021]:

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Asymptotic improvement of GV bound [Byrne, Gluesing-Luerssen, Ravagnani, 2021]:

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- ▶ in the size of a matrix ( $n$ ).

- ▶ Extend to other sum-rank metrics.

- ▶ Extend to other sum-rank metrics.
- ▶ Possibly loosen restriction on  $\alpha$ .

# Thank you for listening!



**For further details:**

A. Abiad, H. Reijnders, M. Tait.

Improved Gilbert-Varshamov bound for sum-rank-metric codes via graph theory.

arXiv:2510.21298