

# Irreducible mod $p$ Lubin-Tate $(\varphi, \Gamma)$ -modules

Cédric Pépin and Tobias Schmidt

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## Abstract

Let  $F$  be a finite extension of  $\mathbb{Q}_p$ . We determine the Lubin-Tate  $(\varphi, \Gamma)$ -modules associated to the absolutely irreducible mod  $p$  representations of the absolute Galois group  $\text{Gal}(\overline{F}/F)$ .

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## 1 Introduction

Let  $F$  be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $o_F$ , residue field  $\mathbb{F}_q$  and uniformizer  $\pi \in o_F$ . Let  $\overline{F}$  be an algebraic closure of  $F$  and let  $\text{Gal}(\overline{F}/F)$  be the absolute Galois group of  $F$ . By adapting the well-known formalism of Fontaine for the cyclotomic case, Kisin-Ren explained in [KR09] (see also the detailed exposition by Schneider [Sch17]) how to build an equivalence between the category of continuous representations of  $\text{Gal}(\overline{F}/F)$  in finitely generated  $o_F$ -modules and a category of étale Lubin-Tate  $(\varphi, \Gamma)$ -modules. Let  $k/\mathbb{F}_q$  be a finite extension. Via reduction modulo  $\pi$  and extension of scalars, one deduces an equivalence of categories between smooth representations of  $\text{Gal}(\overline{F}/F)$  in finite dimensional  $k$ -vector spaces and a category of Lubin-Tate  $(\varphi, \Gamma)$ -modules over the Laurent series ring  $k((t))$ . When  $F = \mathbb{Q}_p$  and in the cyclotomic case, the  $(\varphi, \Gamma)$ -modules corresponding to the  $n$ -dimensional *absolutely irreducible* mod  $p$  Galois representations have been explicitly calculated by Berger [Be10] and then used by him, in the case of  $n = 2$ , to give a direct proof of the compatibility of Colmez'  $p$ -adic local Langlands correspondence with Breuil's mod  $p$  correspondence for the group  $\text{GL}_2(\mathbb{Q}_p)$  in the irreducible case. In view of extending such results to more general base fields  $F \neq \mathbb{Q}_p$ , we propose in this note to explicitly calculate the Lubin-Tate  $(\varphi, \Gamma)$ -modules corresponding to the absolutely irreducible mod  $p$  representations of  $\text{Gal}(\overline{F}/F)$  for  $F \neq \mathbb{Q}_p$ , thereby generalizing Berger's result. As a method of proof, we adapt Berger's strategy to the Lubin-Tate setting.

In [GK18] (generalizing [GK16] for  $F = \mathbb{Q}_p$ ) Grosse-Klönne constructs a fully faithful exact functor from the category of so-called supersingular modules for the pro- $p$  Iwahori-Hecke algebra over  $k$  of the group  $\text{GL}_n(F)$  to the category of Lubin-Tate  $(\varphi, \Gamma)$ -modules over  $k((t))$ . It induces a bijection between the absolutely irreducible objects of rang  $n$  on both sides. In [PS1] we show, as an application of the results in this note, how to geometrically construct an inverse map to Grosse-Klönne's bijection in the case  $n = 2$ .

The second author thanks L. Berger for answering some questions on  $(\varphi, \Gamma)$ -modules.

## 2 Galois representations and Lubin-Tate $(\varphi, \Gamma)$ -modules

Let  $F_n/F$  be the unramified extension of degree  $n$ . The irreducible smooth  $\overline{\mathbb{F}}_q$ -representations of  $\text{Gal}(\overline{F}/F)$  of dimension  $n$  are given by the representations

$$\text{ind}_{\text{Gal}(\overline{F}/F_n)}^{\text{Gal}(\overline{F}/F)}(\chi)$$

smoothly induced from the regular  $\overline{\mathbb{F}}_q$ -characters  $\chi$  of  $\text{Gal}(\overline{F}/F_n)$ . The  $\text{Gal}(F_n/F)$ -conjugates of  $\chi$  induce isomorphic representations and there are no other isomorphisms between the representations [V94].

Let  $\pi \in o_F$  be a uniformizer and let  $q = p^f$ . Let  $\pi_{nf} \in \overline{F}$  be an element such that  $\pi_{nf}^{q^n-1} = -\pi$ . We then have Serre's fundamental character of level  $nf$

$$\omega_{nf} : \text{Gal}(\overline{F}/F_n) \longrightarrow \mathbb{F}_{q^n}^\times$$

given by  $g \mapsto g(\pi_{nf})/\pi_{nf} \in \mu_{q^n-1}(\overline{F})$  followed by reduction mod  $\pi$ , cf. [Se72]. One has  $\omega_{nf}^{\frac{q^n-1}{q-1}} = \omega_f|_{\text{Gal}(\overline{F}/F_n)}$ .

Let  $\mathcal{I} \subset \text{Gal}(\overline{F}/F)$  be the inertia subgroup and choose an element  $\varphi \in \text{Gal}(\overline{F}/F)$  lifting the Frobenius  $x \mapsto x^q$  on  $\text{Gal}(\overline{\mathbb{F}}_q/\mathbb{F}_q)$ . Since  $\omega_f : \mathcal{I} \rightarrow \mathbb{F}_q^\times$  is surjective [Se72, Prop. 2], we may and will assume  $\omega_f(\varphi) = 1$ .

A character  $\omega_{nf}^h$  for  $1 \leq h \leq q^n - 2$  is regular if and only if its conjugates  $\omega_{nf}^h, \omega_{nf}^{qh}, \dots, \omega_{nf}^{q^{n-1}h}$  are all distinct. Equivalently, if and only if  $h$  is  $q$ -primitive, that is, there is no  $d < n$  such that  $h$  is a multiple of  $(q^n - 1)/(q^d - 1)$ . The representation  $\text{ind}_{\text{Gal}(\overline{F}/F_n)}^{\text{Gal}(\overline{F}/F)}(\omega_{nf}^h)$  is then defined over  $\mathbb{F}_{q^n}$ . It has a basis  $\{v_0, \dots, v_{n-1}\}$  of eigenvectors for the characters  $\omega_{nf}^h, \omega_{nf}^{qh}, \dots, \omega_{nf}^{q^{n-1}h}$  of  $\text{Gal}(\overline{F}/F_n)$  such that  $\varphi(e_i) = e_{i-1}$  and  $\varphi(e_0) = e_{n-1}$ . In particular, its determinant coincides with  $\omega_f^h$  on the subgroup  $\text{Gal}(\overline{F}/F_n)$  and takes  $\varphi$  to  $(-1)^{n-1}$ .

For  $\lambda \in \overline{\mathbb{F}}_q^\times$ , let  $\mu_\lambda$  be the unramified character of  $\text{Gal}(\overline{F}/F)$  sending  $\varphi$  to  $\lambda^{-1}$ . Fix  $\delta$  with  $\delta^n = (-1)^{n-1}$ . The representation

$$\text{ind}(\omega_{nf}^h) := (\text{ind}_{\text{Gal}(\overline{F}/F_n)}^{\text{Gal}(\overline{F}/F)}(\omega_{nf}^h)) \otimes \mu_\delta$$

is then uniquely determined by the two conditions

$$\det \text{ind}(\omega_{nf}^h) = \omega_f^h \quad \text{and} \quad \text{ind}(\omega_{nf}^h)|_{\mathcal{I}} = \omega_{nf}^h \oplus \omega_{nf}^{qh} \oplus \dots \oplus \omega_{nf}^{q^{n-1}h}.$$

Let  $k/\mathbb{F}_q$  be a finite extension. Every absolutely irreducible smooth  $k$ -representation of  $\text{Gal}(\overline{F}/F)$  of dimension  $n$  is isomorphic to  $\text{ind}(\omega_{nf}^h) \otimes \mu_\lambda$  for a  $q$ -primitive  $1 \leq h \leq q^n - 2$  and a scalar  $\lambda \in \overline{\mathbb{F}}_q^\times$  such that  $\lambda^n \in k^\times$  and one has

$$\text{ind}(\omega_{nf}^h) \otimes \mu_\lambda \simeq \text{ind}(\omega_{nf}^{\tilde{h}}) \otimes \mu_{\tilde{\lambda}}$$

if and only if  $\text{Gal}(F_n/F) \cdot \omega_{nf}^h = \text{Gal}(F_n/F) \cdot \omega_{nf}^{\tilde{h}}$  and  $\lambda^n = \tilde{\lambda}^n$ .

The theory of Lubin-Tate  $(\varphi, \Gamma)$ -modules and their relation to Galois representations is developed in [KR09] and [Sch17]. Let  $F_\phi$  be a Lubin-Tate group for  $\pi$ , with Frobenius power series  $\phi(t) \in o_F[[t]]$ . The corresponding ring homomorphism  $o_F \rightarrow \text{End}(F_\phi)$  is denoted by  $a \mapsto [a](t) = at + \dots$ . In particular,  $[\pi](t) = \phi(t)$ . Let  $F_\infty/F$  be the extension generated by all torsion points of  $F_\phi$  and let

$$H_F := \text{Gal}(\overline{F}/F_\infty) \quad \text{and} \quad \Gamma := \text{Gal}(\overline{F}/F)/H_F = \text{Gal}(F_\infty/F).$$

Let  $z = (z_j)_{j \geq 0}$  be a  $o_F$ -generator of the Tate module of  $F_\phi$ . In particular, for  $j \geq 0$

$$z_j = [\pi](z_{j+1}) \equiv z_{j+1}^q \pmod{\pi}$$

and  $N_{F(z_1)/F}(-z_1) = \pi$ . This implies

$$z_{j+1}^q = z_j(1 + O(\pi)) \text{ for } j \geq 1 \text{ and } z_1^{q-1} = -\pi(1 + O(z_1)).$$

The Galois action on the generator  $z$  is given by a character  $\chi_L : \text{Gal}(\overline{F}/F) \rightarrow o_F^\times$ , which is surjective and has kernel  $H_F$ . One has  $\omega_f \equiv \chi_L \pmod{\pi}$ .

The power series ring  $o_F[[t]]$  has a Frobenius endomorphism and a  $\Gamma$ -action via  $\varphi(f)(t) = f([\pi](t))$  and  $(\gamma f)(t) = f([\chi_L(\gamma)](t))$  for  $f(t) \in o_F[[t]]$ . Via reduction mod  $\pi$ , these actions induce a Frobenius action and a  $\Gamma$ -action on  $\mathbb{F}_q[[t]]$  and its quotient field  $\mathbb{F}_q((t))$ . This allows to introduce an abelian tensor category of étale Lubin-Tate  $(\varphi, \Gamma)$ -modules over  $\mathbb{F}_q((t))$ . It turns out to be canonically equivalent to the category of continuous finite-dimensional  $\mathbb{F}_q$ -representations of  $\text{Gal}(\overline{F}/F)$ , cf. [KR09, 1.6], [Sch17, 3.2.7].

To explain the functor from  $(\varphi, \Gamma)$ -modules to Galois representations, we denote by  $\mathbb{C}_p$  the completion of an algebraic closure of  $\mathbb{Q}_p$  and choose an embedding  $\overline{F} \subseteq \mathbb{C}_p$ . Recall that the tilt  $\mathbb{C}_p^b$  of the perfectoid field  $\mathbb{C}_p$  is an algebraically closed and perfect complete non-archimedean field of characteristic  $p$ . Its valuation ring  $o_{\mathbb{C}_p^b}$  is given by the projective limit  $\varprojlim_{x \mapsto x^q} o_{\mathbb{C}_p} / \pi o_{\mathbb{C}_p}$  and its residue field is  $\overline{\mathbb{F}}_q$ . There is a unique multiplicative section

$$s : \overline{\mathbb{F}}_q \longrightarrow o_{\mathbb{C}_p^b}, a \mapsto (\tau(a) \pmod{\pi}, \tau(a^{q^{-1}}) \pmod{\pi}, \tau(a^{q^{-2}}) \pmod{\pi}, \dots)$$

where  $\tau$  denotes the Teichmüller map  $\overline{\mathbb{F}}_q \rightarrow o_{\mathbb{C}_p}$ . There is an inclusion

$$\mathbb{F}_q((t)) \xrightarrow{\subset} \mathbb{C}_p^b, t \mapsto (\dots, z_j \pmod{\pi}, \dots)$$

and one has  $\mathbb{C}_p^b = o_{\mathbb{C}_p^b}[1/t]$ . The field  $\mathbb{C}_p^b$  is endowed with a continuous action of  $\text{Gal}(\overline{F}/F)$  and a Frobenius  $\varphi_q$ , which raises any element to its  $q$ -th power. We let  $\mathbb{F}_q((t))^{\text{sep}}$  denote the separable algebraic closure of  $\mathbb{F}_q((t))$  inside  $\mathbb{C}_p^b$ . The field  $\mathbb{F}_q((t))$  and its separable closure  $\mathbb{F}_q((t))^{\text{sep}}$  inherit the Frobenius action and the commuting  $\text{Gal}(\overline{F}/F)$ -action from  $\mathbb{C}_p^b$  and there is an isomorphism

$$H_F \xrightarrow{\simeq} \text{Gal}(\mathbb{F}_q((t))^{\text{sep}}/\mathbb{F}_q((t))).$$

The functor  $\mathcal{V}$  from  $(\varphi, \Gamma)$ -modules to Galois representations is then given by

$$D \rightsquigarrow \mathcal{V}(D) := (\mathbb{F}_q((t))^{\text{sep}} \otimes_{\mathbb{F}_q((t))} D)^{\varphi=1}$$

where  $\text{Gal}(\overline{F}/F)$  acts diagonally (and via its projection to  $\Gamma$  on the second factor).

Now suppose that  $k/\mathbb{F}_q$  is a finite extension. One can consider a  $k$ -representation of  $\text{Gal}(\overline{F}/F)$  as an  $\mathbb{F}_q$ -representation with a  $k$ -linear structure. Similarly, one may introduce  $(\varphi, \Gamma)$ -modules over  $k((t)) = k \otimes_{\mathbb{F}_q} \mathbb{F}_q((t))$ , where  $k$  has the trivial Frobenius and  $\Gamma$ -action. The functor  $\mathcal{V}$  then restricts to an equivalence of categories between étale  $(\varphi, \Gamma)$ -modules over  $k((t))$  and continuous finite-dimensional  $k$ -representations of  $\text{Gal}(\overline{F}/F)$ .

### 3 The main result

We fix once and for all an element  $y \in \mathbb{F}_q((t))^{\text{sep}}$  such that

$$y^{(q^n - 1)/(q - 1)} = t.$$

For  $g \in \text{Gal}(\overline{F}/F)$ , the power series

$$f_g(t) = \chi_L(g)t/g(t) \in 1 + (\pi)[[t]]$$

depends only on the class of  $g$  in  $\Gamma$ . The same is true for its mod  $\pi$  reduction  $\overline{f}_g(t) = \omega_f(g)t/g(t)$ . Note that the formula  $f_g^s(t)$  defines an element of  $o_F[[t]]$  for any  $s \in \mathbb{Z}_p$ .

**3.1. Lemma.** *One has  $g(y) = y\omega_{n,f}^q(g)\overline{f}_g^{-\frac{q-1}{q^n-1}}(t)$  in  $\mathbb{F}_q((t))^{\text{sep}}$  for all  $g \in \text{Gal}(\overline{F}/F_n)$ .*

*Proof.* This is a version of [Be10, Lem. 2.1.3]. Let  $j \geq 1$  and choose  $\pi_{n,f,j} \in o_{\mathbb{C}_p}$  such that

$$\pi_{n,f,j}^{\frac{q^n-1}{q-1}} = z_j.$$

We write  $\pi_j$  for  $\pi_{n,f,j}$  in the following calculations. Let  $g \in \text{Gal}(\overline{F}/F_n)$ . Then

$$(g(\pi_j)/\pi_j)^{\frac{q^n-1}{q-1}} = g(z_j)/z_j = \chi_L(g)f_g^{-1}(z_j)$$

and so the quotient of  $g(\pi_j)/\pi_j$  by  $f_g^{-\frac{q-1}{q^n-1}}(z_j)$  is a certain  $\frac{q^n-1}{q-1}$ -th root of  $\chi_L(g)$ . Since exponentiation with  $\frac{q^n-1}{q-1} \in \mathbb{Z}_p^\times$  is surjective on the subgroup  $1 + (\pi) \subset o_F^\times$  we may write this root as  $\tau(\omega_{n,f,j}(g))$ , with an element  $\omega_{n,f,j}(g) \in \mathbb{F}_{q^n}^\times$ , and arrive at

$$g(\pi_j)/\pi_j = \tau(\omega_{n,f,j}(g))f_g^{-\frac{q-1}{q^n-1}}(z_j).$$

The map  $g \mapsto \omega_{n,f,j}(g)$  is a character of the group  $\text{Gal}(\overline{F}/F_n)$ , since

$$\omega_{n,f,j}(g) \equiv g(\pi_j)/\pi_j \pmod{\mathfrak{m}_{\mathbb{C}_p}}$$

in the field  $\overline{\mathbb{F}}_q = o_{\mathbb{C}_p}/\mathfrak{m}_{\mathbb{C}_p}$  and this element is fixed by  $\text{Gal}(\overline{F}/F_n)$ . Moreover, this character does not depend on the choice of  $\pi_j$ : a different choice  $\pi'_j$  differs from  $\pi_j$  by a  $\frac{q^n-1}{q-1}$ -th root of unity, i.e. by an element of  $F_n$ . Hence  $g(\pi'_j)/\pi'_j = g(\pi_j)/\pi_j$ . By this independence, we see (using the element  $\pi_{j+1}^q$  as an alternative choice for  $\pi_j$ ) that

$$\omega_{n,f,j+1}^q = \omega_{n,f,j} \text{ for } j \geq 1.$$

Moreover,  $\pi_{n,f,1}^{q^n-1} = z_1^{q-1} = -\pi(1 + O(z_1))$  and so  $(\pi_{n,f}/\pi_{n,f,1})^{q^n-1} \equiv 1 \pmod{\mathfrak{m}_{\mathbb{C}_p}}$ . The quotient  $\pi_{n,f}/\pi_{n,f,1} \pmod{\mathfrak{m}_{\mathbb{C}_p}}$  is therefore fixed by  $\text{Gal}(\overline{F}/F_n)$ , in other words

$$g(\pi_{n,f,1})/\pi_{n,f,1} \equiv g(\pi_{n,f})/\pi_{n,f} \pmod{\mathfrak{m}_{\mathbb{C}_p}}$$

for all  $g \in \text{Gal}(\overline{F}/F_n)$  and so

$$\omega_{n,f,1} = \omega_{n,f}.$$

Now recall that there is an isomorphism  $\varprojlim_{x \rightarrow x^q} o_{\mathbb{C}_p} \simeq o_{\mathbb{C}_p}^\flat$  of multiplicative monoids given by reduction modulo  $\pi$ . We use the notation  $u = (u^{(j)})$  for elements in the projective limit  $\varprojlim_{x \rightarrow x^q} o_{\mathbb{C}_p}$ . The element  $y \in o_{\mathbb{C}_p}^\flat$  is given by  $(\dots, \pi_j \pmod{\pi o_{\mathbb{C}_p}}, \dots)$ . Its preimage  $(y^{(j)})$  under the above isomorphism is therefore given by  $y^{(j)} = \lim_{m \rightarrow \infty} \pi_{j+m}^{q^m}$ . By the same argument, the preimage of the element  $\overline{f}_g^{-\frac{q-1}{q^n-1}}(t)$  has coordinates

$$\overline{f}_g^{-\frac{q-1}{q^n-1}}(t)^{(j)} = \lim_{m \rightarrow \infty} (f_g^{-\frac{q-1}{q^n-1}}(z_{j+m}))^{q^m}.$$

The composite map  $s : \overline{\mathbb{F}}_q \rightarrow o_{\mathbb{C}_p}^\flat \simeq \varprojlim_{x \rightarrow x^q} o_{\mathbb{C}_p}$ , which we also denote by  $s$ , is given by  $a \mapsto (\tau(a), \tau(a^{q^{-1}}), \tau(a^{q^{-2}}), \dots)$ . Since

$$s(\omega_{n,f}(g)^q)^{(j)} = \tau(\omega_{n,f}(g)^{q^{-j+1}}) = \tau(\omega_{n,f,j}(g)),$$

we may put everything together and obtain

$$\frac{g(y^{(j)})}{y^{(j)}} = \lim_{m \rightarrow \infty} \left( \frac{g(\pi_{j+m})}{\pi_{j+m}} \right)^{q^m} = \tau(\omega_{n,f,j}(g)) \lim_{m \rightarrow \infty} (f_g^{-\frac{q-1}{q^n-1}}(z_{j+m}))^{q^m} = s(\omega_{n,f}(g)^q)^{(j)} \overline{f}_g^{-\frac{q-1}{q^n-1}}(t)^{(j)}.$$

Reducing this equation modulo  $\pi$  yields the assertion of the lemma.  $\square$

We now consider the  $(\varphi, \Gamma)$ -modules associated to the irreducible Galois representations of the form  $\text{ind}(\omega_{n,f}^h)$ .

**3.2. Theorem.** *The Lubin-Tate  $(\varphi, \Gamma)$ -module associated to an irreducible Galois representation of the form  $\text{ind}(\omega_{n_f}^h)$  is defined over the ring  $\mathbb{F}_q((t))$  and admits a basis  $e_0, e_1, \dots, e_{n-1}$  in which*

$$\gamma(e_j) = \bar{f}_\gamma(t)^{hq^j(q-1)/(q^n-1)} e_j$$

for all  $\gamma \in \Gamma$  and  $\varphi(e_j) = e_{j+1}$  and  $\varphi(e_{n-1}) = (-1)^{n-1} t^{-h(q-1)} e_0$ .

*Proof.* Let  $D$  be the  $(\varphi, \Gamma)$ -module described in the statement and let  $W = \mathcal{V}(D)$ . With  $x = t^h e_0 \wedge \dots \wedge e_{n-1}$ , one has

$$\varphi(x) = \varphi(t)^h (-1)^{n-1} t^{-h(q-1)} e_1 \wedge \dots \wedge e_{n-1} \wedge e_0 = t^{qh-h(q-1)} e_0 \wedge \dots \wedge e_{n-1} = x.$$

Moreover,

$$\gamma(t)^h \prod_{j=0}^{n-1} \bar{f}_\gamma^{hq^j(q-1)/(q^n-1)}(t) = (\omega_f(\gamma)t/\bar{f}_\gamma(t))^h \bar{f}_\gamma^{h(q-1)/(q^n-1) \sum_{j=0}^{n-1} q^j} = \omega_f(\gamma)^h t^h$$

which implies  $\gamma(x) = \omega_f(\gamma)^h x$  for all  $\gamma \in \Gamma$ . So  $\det W = \omega_f^h$ . Put  $k := \mathbb{F}_{q^n}$  as a coefficient field, i.e. endowed with the trivial Frobenius action. To complete the proof, it remains to check that the restriction of  $k \otimes_{\mathbb{F}_q} W$  to the inertia subgroup  $\mathcal{I}$  is given by  $\omega_{n_f}^h \oplus \omega_{n_f}^{qh} \oplus \dots \oplus \omega_{n_f}^{q^{n-1}h}$ . There is a ring isomorphism

$$k \otimes_{\mathbb{F}_q} \mathbb{F}_q((t))^{\text{sep}} \xrightarrow{\cong} \prod_{j=0}^{n-1} \mathbb{F}_q((t))^{\text{sep}}, \quad x \otimes z \mapsto (\varphi_q^j(x)z)$$

where  $\varphi_q$  is the  $q$ -Frobenius on  $k$ . The induced Frobenius and  $\text{Gal}(\bar{F}/F_n)$ -action on  $\prod_{j=0}^{n-1} \mathbb{F}_q((t))^{\text{sep}}$  are given as

$$\varphi((x_0, \dots, x_{n-1})) = (\varphi_q(x_{n-1}), \varphi_q(x_0), \dots, \varphi_q(x_{n-2}))$$

$$g((x_0, \dots, x_{n-1})) = (g(x_0), \dots, g(x_{n-1})).$$

Choose  $\alpha \in \bar{\mathbb{F}}_q \subset \mathbb{F}_q((t))^{\text{sep}}$  such that  $\alpha^{q^n-1} = (-1)^{n-1}$  and define the elements

$$\begin{aligned} v_0 &= (\alpha y^h, 0, \dots, 0)e_0 + (0, \alpha^q y^{qh}, 0, \dots, 0)e_1 + \dots + (0, \dots, 0, \alpha^{q^{n-1}} y^{q^{n-1}h})e_{n-1} \\ v_1 &= (0, \alpha y^h, 0, \dots, 0)e_0 + (0, 0, \alpha^q y^{qh}, 0, \dots, 0)e_1 + \dots + (\alpha^{q^{n-1}} y^{q^{n-1}h}, 0, \dots, 0)e_{n-1} \\ &\vdots \\ v_{n-1} &= (0, \dots, 0, \alpha y^h)e_0 + (\alpha^q y^{qh}, 0, \dots, 0)e_1 + \dots + (0, \dots, \alpha^{q^{n-1}} y^{q^{n-1}h}, 0)e_{n-1}. \end{aligned}$$

By definition of  $D$ , the vectors  $e_i$  form a  $\mathbb{F}_q((t))$ -basis for  $D$  and it follows easily that the vectors  $v_i$  form a  $k \otimes_{\mathbb{F}_q} \mathbb{F}_q((t))^{\text{sep}}$ -basis for  $k \otimes_{\mathbb{F}_q} (\mathbb{F}_q((t))^{\text{sep}} \otimes_{\mathbb{F}_q((t))} D)$ . Moreover,

$$\begin{aligned} \varphi((0, \dots, 0, \alpha^{q^{n-1}} y^{q^{n-1}h})e_{n-1}) &= (\alpha^{q^n} y^{q^n h}, 0, \dots, 0)\varphi(e_{n-1}) \\ &= (\alpha^{q^n} y^{q^n h}, 0, \dots, 0)(-1)^{n-1} t^{-h(q-1)} e_0 = (\alpha y^h, 0, \dots, 0)e_0 \end{aligned}$$

since  $\alpha^{q^n} = (-1)^{n-1} \alpha$  and  $y^{q^n} t^{1-q} = y$ . This means

$$\begin{aligned} \varphi(v_0) &= (0, \alpha^q y^{qh}, 0, \dots, 0)\varphi(e_0) + (0, 0, \alpha^{q^2} y^{q^2 h}, 0, \dots, 0)\varphi(e_1) + \dots + (\alpha^{q^n} y^{q^n h}, 0, \dots, 0)\varphi(e_{n-1}) \\ &= (0, \alpha^q y^{qh}, 0, \dots, 0)e_1 + (0, 0, \alpha^{q^2} y^{q^2 h}, 0, \dots, 0)e_2 + \dots + (\alpha y^h, 0, \dots, 0)e_0 \\ &= v_0. \end{aligned}$$

Similarly, one shows  $\varphi(v_j) = v_j$  for  $j \geq 1$ , so that

$$v_0, \dots, v_{n-1} \in k \otimes_{\mathbb{F}_q} (\mathbb{F}_q((t))^{\text{sep}} \otimes_{\mathbb{F}_q((t))} D)^{\varphi=1} = k \otimes_{\mathbb{F}_q} \mathcal{V}(D) = k \otimes_{\mathbb{F}_q} W.$$

Now if  $g \in \text{Gal}(\overline{F}/F_n)$ , then  $g(y) = y\omega_{nf}^q(g)c_g$  with  $c_g := \overline{f}_g^{-\frac{q-1}{q^n-1}}(t)$  by lemma 3.1 and  $g(e_j) = c_g^{-q^j h} e_j$  by definition of  $D$ . Hence

$$g(y)^{q^j h} g(e_j) = (y\omega_{nf}^q(g))^{q^j h} e_j.$$

If  $g \in \mathcal{I}$ , then  $g(\alpha) = \alpha$  and then altogether

$$\begin{aligned} g(v_0) &= (\alpha g(y)^h, 0, \dots)g(e_0) + (0, \alpha^q g(y)^{qh}, 0, \dots)g(e_1) + \dots + (0, \dots, \alpha^{q^{n-1}} g(y)^{q^{n-1}h})g(e_{n-1}) \\ &= \omega_{nf}^{qh}(g) \cdot ((\alpha y^h, 0, \dots)e_0 + (0, \alpha^q y^{qh}, 0, \dots)e_1 + \dots + (0, \dots, \alpha^{q^{n-1}} y^{q^{n-1}h})e_{n-1}) \\ &= \omega_{nf}^{qh}(g) \cdot v_0, \end{aligned}$$

where  $\cdot$  refers to the left  $k$ -structure of  $\prod_{j=0}^{n-1} \mathbb{F}_q((t))^{\text{sep}}$ . Similarly, one shows  $g(v_j) = \omega_{nf}^{q^{1-j}h}(g)v_j$  for all  $j \geq 1$  and  $g \in \mathcal{I}$ . Since  $\omega_{nf}^{q^n} = \omega_{nf}$  and hence  $\omega_{nf}^{q^{1-j}h} = \omega_{nf}^{q^{n+1-j}h}$ , this proves that the restriction of  $k \otimes_{\mathbb{F}_q} W$  to  $\mathcal{I}$  is given by the sum of the characters  $\omega_{nf}^h, \omega_{nf}^{qh}, \dots, \omega_{nf}^{q^{n-1}h}$ .  $\square$

As explained above, one may pass from irreducible representations of the form  $\text{ind}(\omega_{nf}^h)$  to the general case by twisting with characters. Note that any character  $\text{Gal}(\overline{F}/F) \rightarrow \overline{\mathbb{F}}_q^\times$  can be written in the form  $\omega_f^s \mu_\lambda$ , for  $1 \leq s \leq q-1$  and  $\lambda \in \overline{\mathbb{F}}_q^\times$ .

**3.3. Lemma.** *Let  $k/\mathbb{F}_q$  be a finite extension. The  $(\varphi, \Gamma)$ -module associated a Galois character of the form  $\omega_f^s \mu_\lambda$  with  $\lambda \in k^\times$  admits a basis  $e$  such that  $\varphi(e) = \lambda \cdot e$  and  $\gamma(e) = \omega_f^s(\gamma) \cdot e$  for all  $\gamma \in \Gamma$ .*

*Proof.* Since the functor  $\mathcal{V}$  preserves the tensor product, we may discuss the two characters  $\omega_f^s$  and  $\mu_\lambda$  separately. For the twists by a character of  $\Gamma$ , such as  $\omega_f^s$ , see [SV16, Remark 4.6]. So let  $V = \mu_\lambda = k$  and let

$$D(V) = (\mathbb{F}_q((t))^{\text{sep}} \otimes_{\mathbb{F}_q} V)^{H_F}$$

be the associated  $(\varphi, \Gamma)$ -module. It is instructive to check the case  $k = \mathbb{F}_q$  first. Here, we choose  $\beta \in \overline{\mathbb{F}}_q$  with  $\beta^{q-1} = \lambda$  and put  $e = \beta \otimes 1 \in \mathbb{F}_q((t))^{\text{sep}} \otimes_{\mathbb{F}_q} V$ . Since  $\beta \neq 0$ , we have  $e \neq 0$ . Moreover,  $\mathcal{I}$  acts trivial on  $e$  and for  $\varphi \in \text{Gal}(\overline{F}/F)$  we find

$$\varphi(e) = \varphi(\beta) \otimes \varphi(1) = \beta^q \otimes \lambda^{-1} = \beta \lambda \otimes \lambda^{-1} = \beta \otimes 1 = e.$$

Hence  $e$  is indeed  $\text{Gal}(\overline{F}/F)$ -invariant. Moreover, if  $\phi$  denotes the Frobenius endomorphism on  $D(V)$  we have

$$\phi(e) = \phi(\beta) \otimes 1 = \beta^q \otimes 1 = \lambda \beta \otimes 1 = \lambda e.$$

Now suppose that  $k = \mathbb{F}_{q^n}$  for some  $n$  and  $\lambda \in k^\times$ . We use the ring isomorphism

$$k \otimes_{\mathbb{F}_q} \mathbb{F}_q((t))^{\text{sep}} \xrightarrow{\simeq} \prod_{j=0}^{n-1} \mathbb{F}_q((t))^{\text{sep}}, \quad x \otimes z \mapsto (\varphi_q^j(x)z)$$

where  $\varphi_q$  is the  $q$ -Frobenius on  $k$ . It is  $\text{Gal}(\overline{F}/F_n)$ -equivariant, where the Galois action on the right-hand side is componentwise (see proof of the above theorem). By the normal basis theorem, there is  $x \in k^\times$  such that its conjugates  $\varphi_q^j(x)$  are linearly independent over  $\mathbb{F}_q$ . The  $j$ -th copy  $\mathbb{F}_q((t))^{\text{sep}}$  in the above product has therefore a  $\mathbb{F}_q((t))^{\text{sep}}$ -basis element  $e_j := \varphi_q^j(x) \in k = V$  on which  $\mathcal{I}$  acts trivial and on which the element  $\varphi^n \in \text{Gal}(\overline{F}/F_n)$  acts by  $\lambda^{-n}$ . Choose  $\beta \in \overline{\mathbb{F}}_q$  such that  $\beta^{q^n-1} = \lambda^n$  and put  $v_j = \beta e_j$ . Then  $\mathcal{I}$  obviously acts trivial on  $v_j$  and the same holds for  $\varphi^n$ , since

$$\varphi^n(v_j) = \varphi^n(\beta)\varphi^n(e_j) = \beta^{q^n} \lambda^{-n} e_j = \beta \lambda^n \lambda^{-n} e_j = v_j.$$

Hence,  $\mathcal{I}$  and  $\varphi^n$  act trivial on  $(v_j) \in \prod_{j=0}^{n-1} \mathbb{F}_q((t))^{\text{sep}}$  and then also on its preimage  $v = x \otimes \beta \in k \otimes_{\mathbb{F}_q} \mathbb{F}_q((t))^{\text{sep}}$ . Note that  $v \neq 0$  since  $x, \beta \neq 0$ . Write  $N = \prod_{j=0}^{n-1} \varphi^j$  and  $e = N(v)$ . Then  $e$

is fixed by  $\mathcal{I}$  (since  $\mathcal{I}$  is normalized by the  $\varphi^j$ ) and is fixed by  $\varphi$  by construction. Hence,  $e$  is  $\text{Gal}(\overline{F}/F)$ -invariant. Note that  $e \neq 0$ , since  $e = N(x) \otimes N(\beta)$  and  $N(x), N(\beta) \neq 0$  and so  $e$  is indeed a basis element of  $D(V)$  on which  $\Gamma$  acts trivial. Finally, write  $e = \sum_{j=0}^{n-1} \varphi_q^j(x) \otimes z_j$  with  $z_j \in \mathbb{F}_q((t))^{\text{sep}}$ . The Frobenius endomorphism  $\phi$  on  $D(V)$  satisfies

$$\phi(e) = \sum_j \varphi_q^j(x) \otimes \varphi(z_j) = \varphi\left(\sum_j \varphi^{-1}(\varphi_q^j(x)) \otimes z_j\right) = \varphi\left(\sum_j \lambda \varphi_q^j(x) \otimes z_j\right) = \lambda \varphi(e) = \lambda e.$$

□

**3.4. Corollary.** *Let  $k/\mathbb{F}_q$  be a finite extension. The  $(\varphi, \Gamma)$ -module associated to an irreducible Galois representation of the form  $(\text{ind}(\omega_{nf}^h)) \otimes \omega_f^s \mu_\lambda$ , for  $1 \leq s \leq q-1$  and  $\lambda^n \in k^\times$ , is defined over the ring  $k((t))$  and admits a basis  $e_0, e_1, \dots, e_{n-1}$  in which*

$$\gamma(e_j) = \omega_f(\gamma)^s \bar{f}_\gamma(t)^{hq^j(q-1)/(q^n-1)} e_j$$

for all  $\gamma \in \Gamma$  and  $\varphi(e_j) = \lambda e_{j+1}$  and  $\varphi(e_{n-1}) = (-1)^{n-1} t^{-h(q-1)} \lambda e_0$ .

*Proof.* This follows from the preceding lemma and the theorem. □

Since  $\omega_{nf}^{\frac{q^n-1}{q-1}} = \omega_f$ , every irreducible representation of  $\text{Gal}(\overline{F}/F)$  of dimension  $n$  is therefore isomorphic to  $\text{ind}(\omega_{nf}^h) \otimes \omega_f^s \mu_\lambda$  for  $1 \leq s \leq q-1$ , a scalar  $\lambda \in \overline{\mathbb{F}_q}^\times$  and a  $q$ -primitive  $1 \leq h \leq \frac{q^n-1}{q-1} - 1$ .

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Cédric Pépin, LAGA, Université Paris 13, 99 avenue Jean-Baptiste Clément, 93 430 Villetaneuse, France  
*E-mail address:* [cpepin@math.univ-paris13.fr](mailto:cpepin@math.univ-paris13.fr)

Tobias Schmidt, Univ Rennes, CNRS, IRMAR - UMR 6625, F-35000 Rennes, France  
*E-mail address:* [tobias.schmidt@univ-rennes1.fr](mailto:tobias.schmidt@univ-rennes1.fr)