

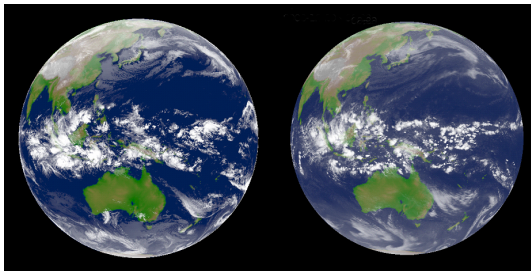
Structure Preserving Discretization of the Rotating Shallow Water Equations

Chris Eldred
Department of Atmospheric Science
Colorado State University

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Broad Research Overview: What are dynamical cores?

- ① Develop discrete models of the atmosphere (dynamical cores)
- ② Dynamical core: deals with "resolved processes"
- ③ Parameterizations: deals with "unresolved processes"
- ④ Model: dynamical core + parameterizations



Which is the model, and which is reality? (from Miura et. al 2007)

Philosophy of Dynamical Core Development

- ① Model development is a series of choices: equation sets, predicted variables, numerical methods, many others
- ② Numerical model: Continuous equations \rightarrow algebraic equations
- ③ Are solutions between these two the same?
- ④ \rightarrow Mimetic methods, conservation properties

$$\vec{\nabla} \times \vec{\nabla} \phi = 0 \iff \mathbf{CG} \vec{\phi} = 0$$

Mimetic Methods

Discrete Exterior Calculus

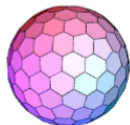
$$\vec{\nabla} \times \vec{\nabla} \phi = 0 \iff \bar{D}_2 \bar{D}_1 = 0$$

$$\vec{\nabla} \cdot \vec{\nabla}^T \phi = 0 \iff D_2 D_1 = 0$$

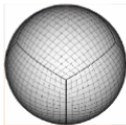
$$(\vec{\nabla})^* = -\vec{\nabla} \cdot \iff D_2 = \bar{D}_1^T$$

$$(\vec{\nabla}^T)^* = -\vec{\nabla} \times \iff \bar{D}_2 = -D_1^T$$

These are purely topological relations



Icosahedral-hexagons



Cubed Sphere

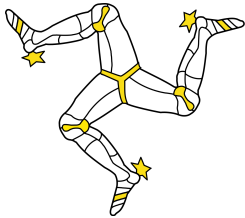
Spherical Grids



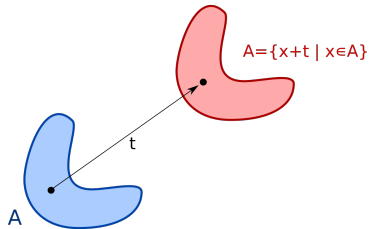
Planar Grids

Conservation Laws

- 1 Why are certain quantities conserved?
- 2 → Symmetry!
- 3 Ex. Translational symmetry \leftrightarrow momentum conservation
- 4 Ex. Time symmetry \leftrightarrow energy conservation
- 5 Noether's theorem: every continuous symmetry leads to conserved quantity (and visa-versa)



Rotational Symmetry



Translation Symmetry

Discrete Conservation Laws

- ① **Problem:** Computers don't understand continuous symmetry
- ② **Solution:** Computers understand anti-symmetry

$$\{A, B\} = -\{B, A\}$$

- ③ \rightarrow Non-Canonical Hamiltonian Mechanics

$$\frac{\partial \vec{x}}{\partial t} = \mathbb{J} \frac{\delta \mathcal{H}}{\delta \vec{x}}$$

$$\mathbb{J} \frac{\delta \mathcal{Z}}{\delta \vec{x}} = 0$$

\mathcal{H} - Hamiltonian (Energy)

\mathcal{J} - Casimirs (PV, Potential Enstrophy)

\mathbb{J} - Antisymmetric bilinear operator (symplectic)

\vec{x} - state variables

Conservative, Mimetic Methods

Conservation Laws

- 1 Hamiltonian Mechanics
- 2 Salmon, Dubos, Gassmann, Sommer, Nevir, others

Mimetic Properties

- 1 Discrete Exterior Calculus
- 2 Thuburn, Cotter, others

Conservative, Mimetic Methods

- 1 Use DEC to build a discrete (quasi-)Hamiltonian system
- 2 Unifies two important lines of research

Shallow Water Equations

Vector Invariant Shallow Water Equations

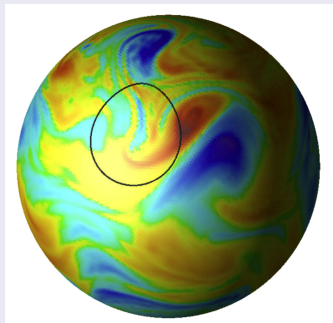
$$\vec{x} = (h, \vec{u})$$

$$\mathbb{J} = \begin{pmatrix} 0 & \vec{\nabla} \cdot \\ \vec{\nabla} & q \hat{k} \times \end{pmatrix}$$

$$\mathcal{H} = \frac{1}{2}g(h, h) + \frac{1}{2}(\vec{F}, \vec{u})$$

$$\frac{\delta \mathcal{H}}{\delta \vec{x}} = \begin{pmatrix} \Phi \\ \vec{F} \end{pmatrix} = \begin{pmatrix} gh + K \\ h\vec{u} \end{pmatrix}$$

$$\mathcal{Z} = \int_{\Omega} d\Omega h C(q)$$



Shallow water simulation from
Ringler et. al 2010

Generalized C Grid Discretization

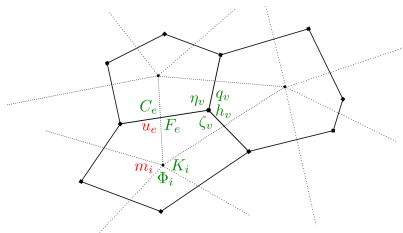
- Discrete variables are $m_i = \int h dA$ (discrete primal 2-form) and $u_e = \int \vec{u} \cdot d\vec{l}$ (discrete dual 1-form)
- C grid staggering (m_i at cell centers, u_e at edges)
- General formulation is:

$$\vec{X} = (m_i, u_e)$$

$$\mathbb{J} = \begin{pmatrix} 0 & D_2 \\ \bar{D}_1 & Q \end{pmatrix}$$

$$\mathcal{H} = \frac{1}{2} g(m_i, m_i)_{\mathbf{I}} + \frac{1}{2} (F_e, u_e)_{\mathbf{H}}$$

$$\frac{\delta \mathcal{H}}{\delta \vec{X}} = \begin{pmatrix} \mathbf{I} \Phi_i \\ \mathbf{H} F_e \end{pmatrix}$$



Non-orthogonal primal-dual C grid

Summary

- 1 General discrete framework can conserve **mass, potential vorticity, total energy and potential enstrophy** on **general, non-orthogonal polygonal meshes**
- 2 Framework cleanly splits **topological** (\bar{D}_1, D_2 , etc.) and **metrical** (\mathbf{I}, \mathbf{H} , etc.) aspects
- 3 Hamiltonian/DEC framework also has useful mimetic properties (linear stability, no spurious vorticity production, etc.)
- 4 **Any questions?**

Mass and Potential Vorticity Conservation

Mass Conservation

$$\frac{\partial m_i}{\partial t} + D_2 \mathbf{H} F_e = 0$$

Local and global conservation by form alone ("flux form", independent of how F_e is formulated)

Potential Vorticity Conservation

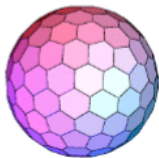
$$\frac{\partial m_v q_v}{\partial t} + \bar{D}_2 \mathbf{Q} \mathbf{H} F_e = 0$$

Local and global conservation by form alone ("flux form", independent of how \mathbf{Q} is formulated)

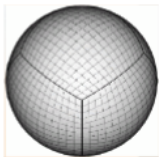
Discrete Energy Conservation

Requires two things:

- 1 \mathbb{J} is anti-symmetric: $D_2^T = -\bar{D}_1$, and $\mathbf{Q} = \mathbf{Q}^T$
- 2 \mathcal{H} is positive definite: \mathbf{I} and \mathbf{H} are symmetric positive definite



Icosahedral-hexagons



Cubed Sphere

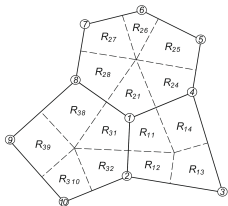


Planar Grids

Discrete Potential Enstrophy

$$\mathcal{Z}_C = \frac{1}{2}(\eta_v, \mathbf{J}^{-1} q_v)_J$$

$$\frac{\delta \mathcal{Z}_C}{\delta \vec{x}} = \begin{pmatrix} -\mathbf{R}^T \frac{q_v^2}{2} \\ \bar{D}_2^T q_v \end{pmatrix} = \begin{pmatrix} -\mathbf{R}^T \frac{q_v^2}{2} \\ D_1 q_v \end{pmatrix}$$



Construction of \mathbf{R} from Thuburn
et. al 2009

- Discrete vorticity is $\zeta_v = \bar{D}_2 u_e$
- Mass-weighted potential vorticity $m_v q_v = \zeta_v + f = \eta_v$
- $m_v = \mathbf{R} m_i$; \mathbf{R} maps primal 2-forms to dual 2-forms

Discrete Potential Enstrophy Conservation

Conservation

Casimir conservation requires

$$\mathbb{J} \frac{\delta \mathcal{Z}_C}{\delta \vec{x}} = 0$$

which gives

$$D_2 D_1 q_v = 0 \quad (1)$$

$$-\bar{D}_1 \mathbf{R}^T \frac{q_v^2}{2} + \mathbf{Q} D_1 q_v = 0 \quad (2)$$

- Form of (2) gives hope that Arakawa and Lamb 1981 scheme can be extended to non-orthogonal, arbitrary polygonal meshes

Operator Requirements

- (1) is automatic ($D_2 D_1 = 0$)
- (2) is tricky- depends on R^T
 - TRiSK and Arakawa and Lamb 1981 schemes both construct \mathbf{Q} such that (2) is satisfied
 - Only Arakawa and Lamb 1981 also has $\mathbf{Q} = \mathbf{Q}^T$ (energy conservation)