The Relative Étale Shape and Obstructions to Rational Points

Yonatan Harpaz Tomer Schlank

The Hebrew University Jerusalem

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- Future directions

Number Fields

The local obstruction

$$X(\mathbb{A}_{K}) = \emptyset \Longrightarrow X(K) = \emptyset$$

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 A finer obstruction set

$$X^{\mathsf{Br}}(\mathbb{A}_{\mathcal{K}}) = \emptyset \Longrightarrow X(\mathcal{K}) = \emptyset$$

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 D. Harari (02): for X smooth projective one has X^{con}(𝔅) = X^{Br}(𝔅)

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 Not a complete obstruction - counter example constructed by B. Poonen in 2008

Integral Points

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 ■ O_{K,S} - the ring of S-integers of a number field K
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 - Study S-integral points, i.e. sections of the form

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Intersect obstruction sets with S-integral adelic points:

$$\mathcal{X}^{\mathsf{Br}}(\mathbb{A}_{\mathcal{K},\mathcal{S}}) = X^{\mathsf{Br}}(\mathbb{A}) \cap \mathcal{X}(\mathbb{A}_{\mathcal{K},\mathcal{S}})$$

 $\mathcal{X}^{\mathsf{desc}}(\mathbb{A}_{\mathcal{K},\mathcal{S}}) = X^{\mathsf{desc}}(\mathbb{A}) \cap \mathcal{X}(\mathbb{A}_{\mathcal{K},\mathcal{S}})$

General Fields

Grothendieck's obstruction

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The Classical Obstructions

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A *k*-rational point of *X* induces a section

$$\pi_1^{\acute{et}}(X) \xrightarrow{\checkmark} \operatorname{Gal}(\overline{k}/k)$$

■ X - a scheme

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- $H^n(C, F) \cong \lim_{\alpha} H^n(|C|, F)$ for constant sheaves F
- Ét(X) = |X_{ét}| known as the étale homotopy type (Artin and Mazur, 1969)
- The comparison theorem: for X/C we have Ét(X) ≅ the pro-finite completion of X(C)

■ A relative situation X → S: wish to study sections



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with $f(x) = x^2$

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Solution: relative étale homotopy type Ét_{/S}(X)
 - a pro-object in the homotopy category of "sheaves of spaces" on S



What is an (étale) sheaf of spaces on S?

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What is an (étale) sheaf of spaces on S?

- S = Spec(k) when k algebraically closed a space
- S = Spec(k) when k is a general field a space with an action of Gal(k/k)
- General case formulate via Quillen's notion of a model category (Jardin, Joyal and others)

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f : *E* → *B* - a Serre fibration of topological spaces with fiber *F*

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- *B* is a CW complex ⇒ can study sections inductively on skeletons
- In *n*'th step face an obstruction in $H^{n+1}(B, \pi_n(F))$
- If all obstruction vanish a spectral sequence

$$H^{s}(B,\pi_{t}(F)) \Rightarrow \pi_{t-s}(\operatorname{Sec}(f))$$

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General Base Schemes

• $X \longrightarrow S$ - a scheme over a base scheme S

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X → S - a scheme over a base scheme S
 Ét_{/S}(X) - an inverse family of sheaves of spaces {F_α}_{α∈I} on S

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$$H^{s}_{\acute{e}t}(S, \pi_{t}(\mathcal{F}_{\alpha})) \Rightarrow \pi_{t-s}(\mathsf{hSec}(S, \mathcal{F}_{\alpha}))$$

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General Base Schemes

• A section $X \xrightarrow{\longleftarrow} S$ gives a compatible choice of (homotopy) global sections $s_{\alpha} \in \mathcal{F}_{\alpha}(S)$

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General Base Schemes

A section X → S gives a compatible choice of (homotopy) global sections s_α ∈ F_α(S)
 In particular one obtains a map

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If X(hS) ≠ Ø ⇒ can still use to classify S-points of X

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The Relative Homotopy Obstruction Proper Base Change

Generalized proper base change theorem:

$$\{(\mathcal{F}_{\alpha})_{s}\}\cong \acute{E}t_{/k}(X_{s})$$

for each closed point $s : \operatorname{Spec}(k) \hookrightarrow S$

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- Allows one to predict the homotopy type of the fibers of Ét_{/S}(X)
- Example: if S = Spec(k) for a field k then the underlying pro homotopy type of Ét_{/k}(X) is Ét_{/k}(X ⊗_k k) (but we have an additional structure of a Γ_k-action)

•
$$S = \operatorname{Spec}(k)$$
 for a field k

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The Relative Homotopy Obstruction For Fields

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■ First Obstruction to X(hS) ≠ Ø being non-empty is

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Exactly Grothendieck's section obstruction

$$1 \longrightarrow \pi_1^{\acute{e}t}(\bar{X}) \longrightarrow \pi_1^{\acute{e}t}(X) \xrightarrow{\checkmark} \operatorname{Gal}(\bar{k}/k) \longrightarrow 1$$

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Examples

• Let
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• Obtain an obstruction element in $H^2_{\acute{e}t}(S, \mu_n)$

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Obtain an obstruction element in H²_{ét}(S, μ_n)
 Can be shown to match the image of the element c ∈ H¹(S, G_m) classifying X

Examples

• Let char(k) = 0 and
$$X/k$$
 given by $\sum_{i=0}^{n} a_i x_i^2 = 1$ with $0 \neq a_i \in k$

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The Relative Homotopy Obstruction Examples

Let char(k) = 0 and X/k given by ∑ⁿ_{i=0} a_ix²_i = 1 with 0 ≠ a_i ∈ k
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- Obtain an obstruction element in Galois cohomology Hⁿ⁺¹(Γ_k, Z/2)
- Can be shown to equal the cup product ⋃_{i=0}ⁿ[a_i] where [a_i] ∈ H¹(Γ_k, ℤ/2) ≅ k^{*}/(k^{*})² is the class of a_i

Examples - The Affine Line

• $\mathbb{A}^1 \longrightarrow \operatorname{Spec}(\mathbb{Z})$

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Examples - The Affine Line

• $\mathbb{A}^1 \longrightarrow \operatorname{Spec}(\mathbb{Z})$

- For every p the fiber (A₁)_p = Spec(𝔽_p[t]) has big fundamental group - many Artin-Schrier extensions
- E.g. the extension y^p + y = t translates to a sheaf of spaces *F_p* ∈ Ét_{/Spec(ℤ)}(A¹) with connected fibers such that

$$\pi_1(\mathcal{F}_p) = (\iota_p)_*\mathbb{Z}/p$$

and higher homotopy groups vanish

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• $\pi_0(\operatorname{hSec}(S, \mathcal{F}_p)) \cong H^1_{\acute{e}t}(\operatorname{Spec}(\mathbb{Z}), \pi_1(\mathcal{F}_p)) \cong \mathbb{Z}/p$

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- $\pi_0(\operatorname{hSec}(S, \mathcal{F}_p)) \cong H^1_{\acute{e}t}(\operatorname{Spec}(\mathbb{Z}), \pi_1(\mathcal{F}_p)) \cong \mathbb{Z}/p$
- The resulting map

$$\mathbb{Z}\cong \mathbb{A}^1(\operatorname{Spec}(\mathbb{Z}))\longrightarrow \pi_0\left(\operatorname{hSec}(\mathcal{S},\mathcal{F}_p)
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is just the mod p map

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is just the mod p map \Rightarrow the map $\mathbb{A}^1(\operatorname{Spec}(\mathbb{Z})) \longrightarrow \mathbb{A}^1(h\operatorname{Spec}(\mathbb{Z}))$ is injective

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- $\pi_0(\operatorname{hSec}(S, \mathcal{F}_p)) \cong H^1_{\acute{e}t}(\operatorname{Spec}(\mathbb{Z}), \pi_1(\mathcal{F}_p)) \cong \mathbb{Z}/p$
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is just the mod *p* map

- \Rightarrow the map $\mathbb{A}^1(\operatorname{Spec}(\mathbb{Z})) \longrightarrow \mathbb{A}^1(h\operatorname{Spec}(\mathbb{Z}))$ is injective
- ⇒ the map X(Spec(Z)) → X(hSpec(Z)) is injective for every affine scheme X → Spec(Z)

The Local Global Principle

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The Relative Homotopy Obstruction The Local Global Principle

Obtain a commutative diagram of sets

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 $\blacksquare \Rightarrow$ a new obstruction set

$$X^h(\mathbb{A})\subseteq X(\mathbb{A})$$

by taking adelic points whose corresponding homotopy fixed points are rational

The Local Global Principle

Theorem (H., S. 2010)

For X smooth and geometrically connected one has $X^h(\mathbb{A}) = X^{\mathrm{fin},\mathrm{Br}}(\mathbb{A})$

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The Local Global Principle - Variations

 Given an augmented functor
 F : Ho(Top) → Ho(Top) one can construct a new (weaker) obstruction set X^F(A) by replacing Ét_{/K}(X) with F(Ét_{/K}(X))

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- For F = P₁ the first Postnikov piece functor recover finite descent: X^F(A) = X^{fin}(A)
- For F = Z the free abelian group functor recover the Brauer-Manin obstruction: X^F(A) = X^{Br}(A)
- For $F = P_1 \circ \mathbb{Z}$ recover finite abelian descent: $X^F(\mathbb{A}) = X^{\text{fin-ab}}(\mathbb{A})$

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$$(X \times Y)(\mathbb{A})^{\operatorname{fin},\operatorname{Br}} = X(\mathbb{A})^{\operatorname{fin},\operatorname{Br}} \times Y(\mathbb{A})^{\operatorname{fin},\operatorname{Br}} \\ \pi_2^{\acute{e}t}(\overline{X}) = 0 \Rightarrow X(\mathbb{A})^{\operatorname{fin}} = X(\mathbb{A})^{\operatorname{fin},\operatorname{Br}}$$

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$$X(\mathbb{A})^{\text{fin-ab}} = X(\mathbb{A})^{\text{fin}}$$
$$\| \qquad \|$$
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•
$$H_2^{\acute{et}}(\overline{X}) = 0 \Rightarrow X(\mathbb{A})^{\operatorname{Br}} = X(\mathbb{A})^{\operatorname{fin-ab}}$$

Local-global obstructions for integral points

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Thank you for listening!

Yonatan Harpaz Tomer Schlank The Relative Étale Shape and Obstructions to Rational Points

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